

ESA FRINGE 2023, Sep 11-15, 2023, Leeds UK

## Concurrent Car-Borne Repeat-Pass SAR Interferometry at L-Band and Ku-Band For Mobile Mapping of Ground Motion on Alpine Valley Slopes



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Othmar Frey <sup>(1,2)</sup>, Charles Werner <sup>(1)</sup>, Rafael Caduff <sup>(1)</sup>

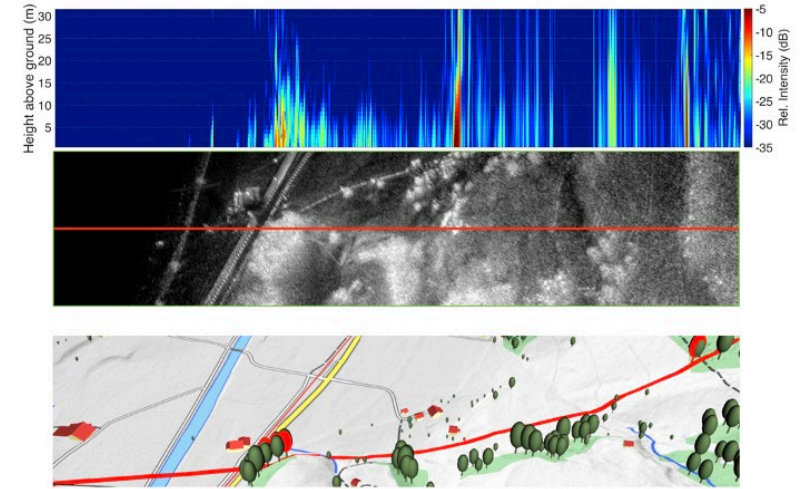
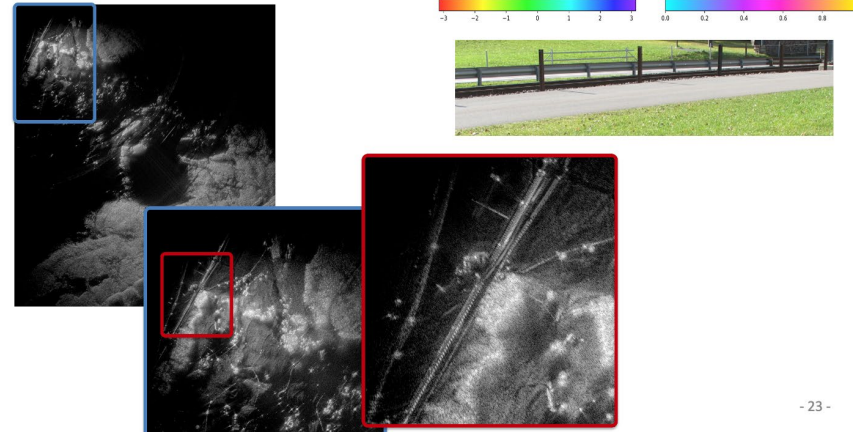
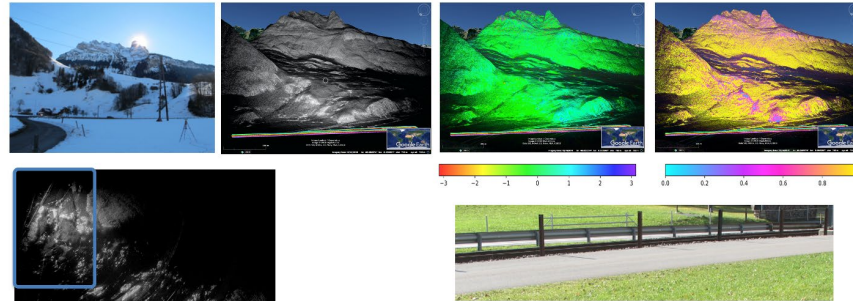
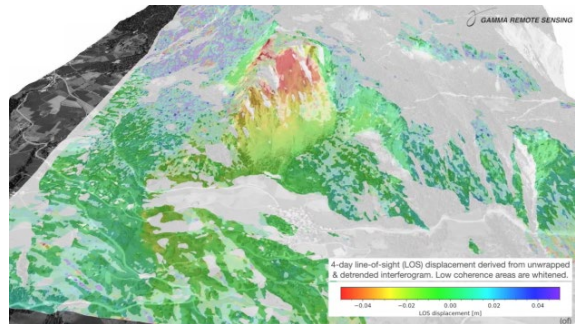
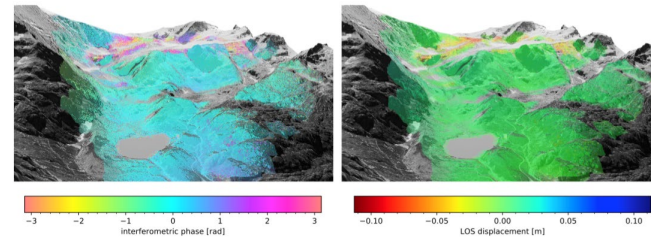
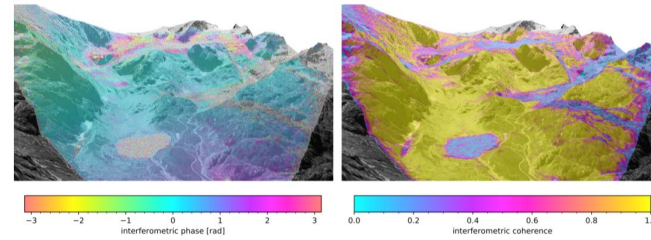
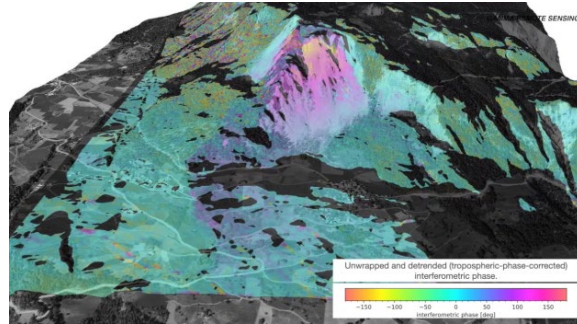
<sup>(1)</sup> Gamma Remote Sensing, <sup>(2)</sup> ETH Zurich

# Overview

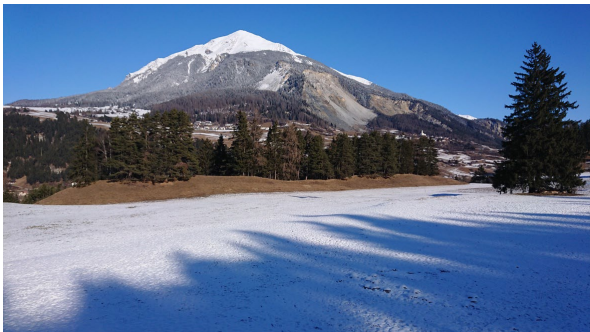
- Quick recap of our recent technology demonstrations on UAV/car-borne DInSAR at L-band to map surface displacements.
- Motivation for combined L-/Ku-band setup
- Analysis of L-/ Ku-band repeat-pass coherence and phase maps from recent measurement campaigns
- Summary & Outlook



# Recap of recent demonstrations of car-borne and UAV-borne DInSAR at L-band to map surface displacements + UAV-borne TomoSAR demo

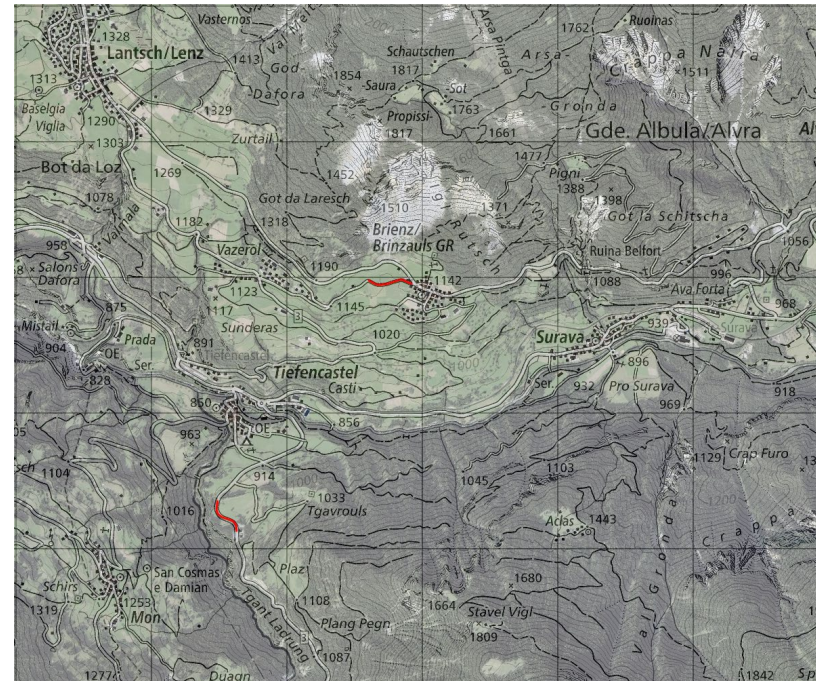


# Carborne L-band DInSAR based measurement of surface displacements: demonstration case “Landslide Brinzauls” Jan/Feb 2020



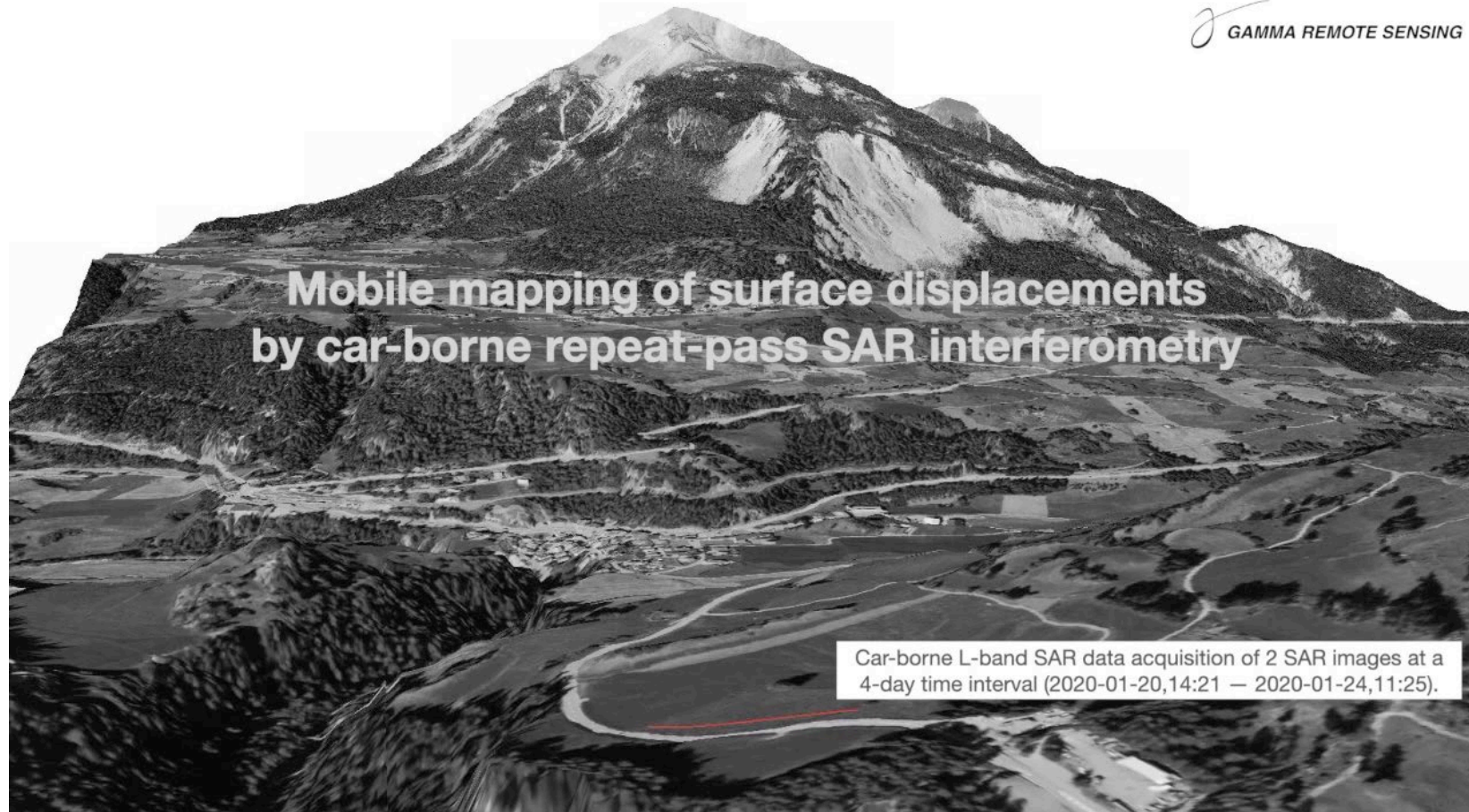
Fast-moving land slide

- lower section, village: up to **~2.5 cm/week**
- upper part: up to **~2cm/day**



Map/orthophoto: swisstopo

# DInSAR processing chain and (intermediate) data products to retrieve surface displacements



14. Juni 16. Juni



Quelle: Gemeinde Albul/Alvra

Meanwhile: major mass movement event

14. Juni 16. Juni



# Motivation for a combined L-band and Ku-band setup

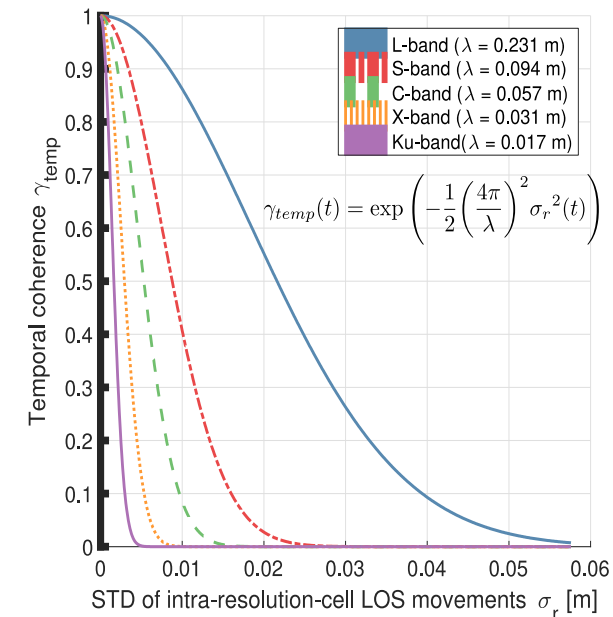
## Where are we now?

- **Car-/UAV-borne DInSAR at L-band successfully demonstrated** for measuring surface displacements
- **Ku-band quasi-stationary systems** provide an established means to measure surface displacements (with zero spatial baseline)



## Why a combined L-/Ku-band car-borne DInSAR setup?

- Sensitivity to line-of-sight(LOS) displacement (Ku-band vs. L-band > 10x more sensitive)
- Different areas within the region of interest may have
  - different **temporal decorrelation** and
  - different **displacement velocities**
- “Technical” reasons:
  - Repeat-pass DInSAR at Ku-band from an agile platform is challenging. L-band can help to aid residual motion comp. / unwrapping (also due to atmosphere)
  - Test bed for further DInSAR developments on agile platforms.
- Long-term goal: flexible choice of frequency and (agile) platform



# Gamma L-band and Ku-band FMCW SAR instruments

## Gamma L-band SAR

Table 1: Gamma L-band SAR specifications

Frequency within	1.2 - 1.4 GHz
used center freq.	1.325 GHz
wavelength at center freq.	22.6 cm
Chirp bandwidth	50 - 200 MHz
used bandwidth	100 MHz
range resolution (@ 100 MHz BW)	1.5 m
Azimuth resolution (@ full SA)	≤ 0.5 m
Azimuth resolution (@ SA = 250m, R=5km)	2.3 m
Type	FMCW
Chirp lengths	250 μs - 8 ms.
Transmit power	max. 10 W (used: 5 W)
Transmit channels	2 (alternating)
Receive channels	4 (simultaneous)
Elev. beamwidth (3dB)	40.0 deg
Azim. beamwidth (3dB)	40.0 deg
Elev. pointing angle	variable (configuration dependent)
Radar hardware assembly	Pelicase 1450
Dimensions	l: 406mm w: 330 mm h: 174 mm
Weight	7.65 kg



Positioning/Navigation INS/GNSS System  
Honeywell HGuide n580:  
MEMS IMU with dual  
GNSS antenna / receiver



- Combined L-band and Ku-band
- Allows simultaneous data acquisition at both frequencies

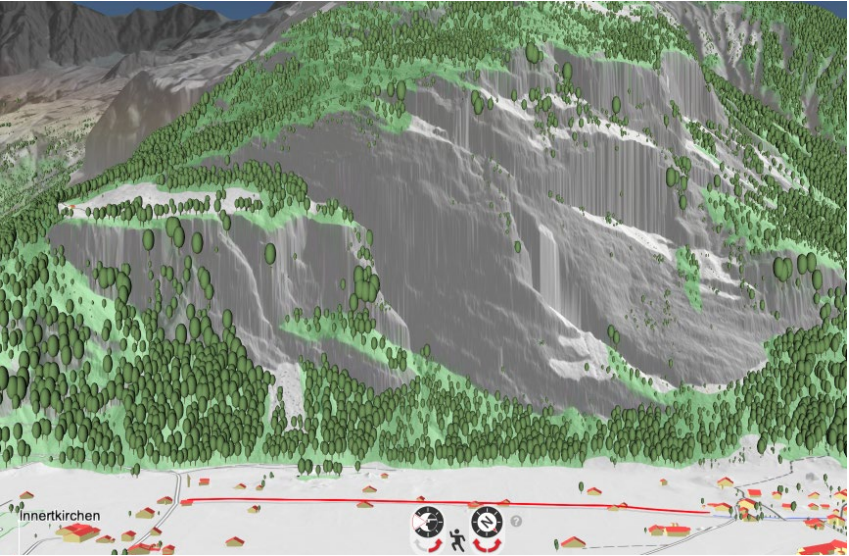
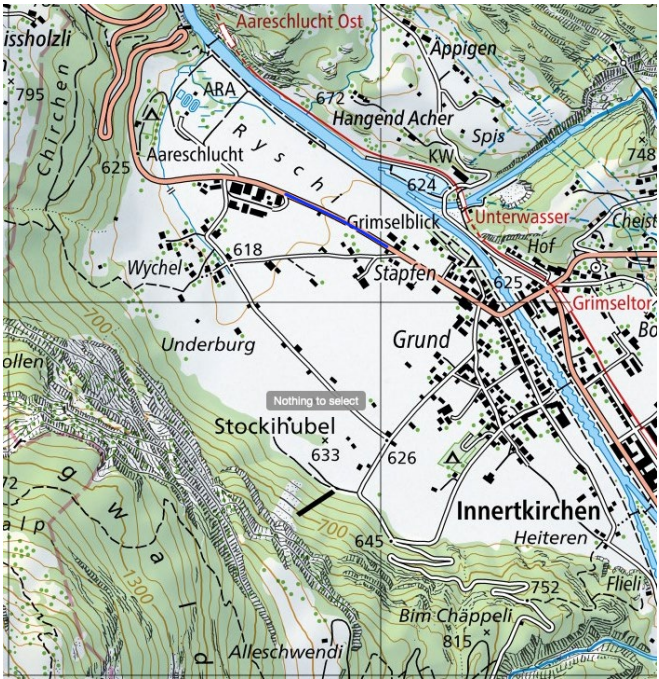
## Gamma Portable Radar Interferometer

Table 2: GPRI-based Ku-band SAR specifications

Frequency within	17.1 - 17.3 GHz
used center freq.	17.2 GHz
wavelength at center freq.	1.74 cm
Chirp bandwidth	50 - 200 MHz
range res. (@200 MHz BW)	0.75 m
Type	FMCW
Chirp lengths	250 μs - 8 ms.
ADC sampling rate	6.25 MHz
Elev. beamwidth (3dB)	25.0 deg
Azim. beamwidth (3dB)	12.5 deg



# First test site for combined L-/Ku-band campaign



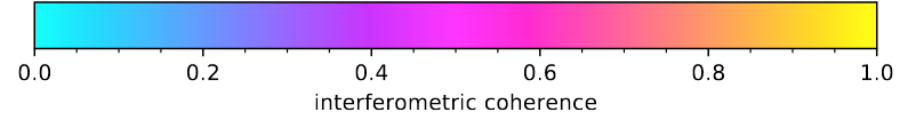
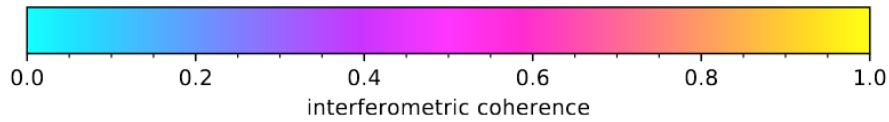
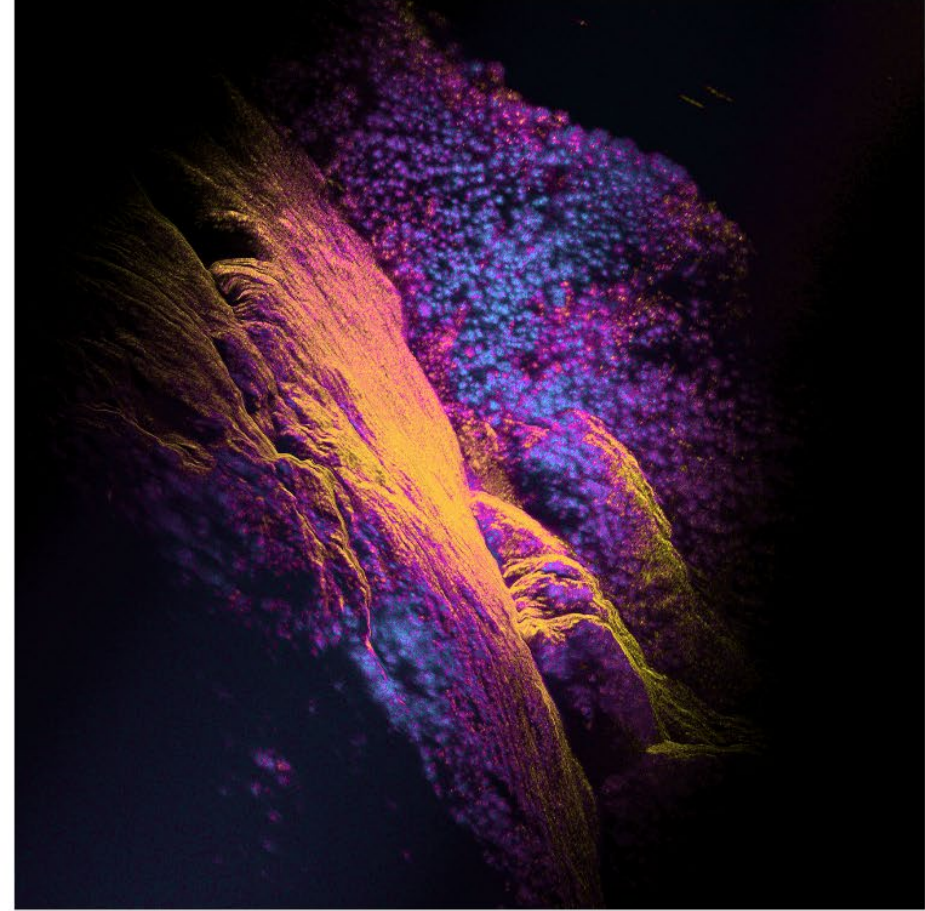
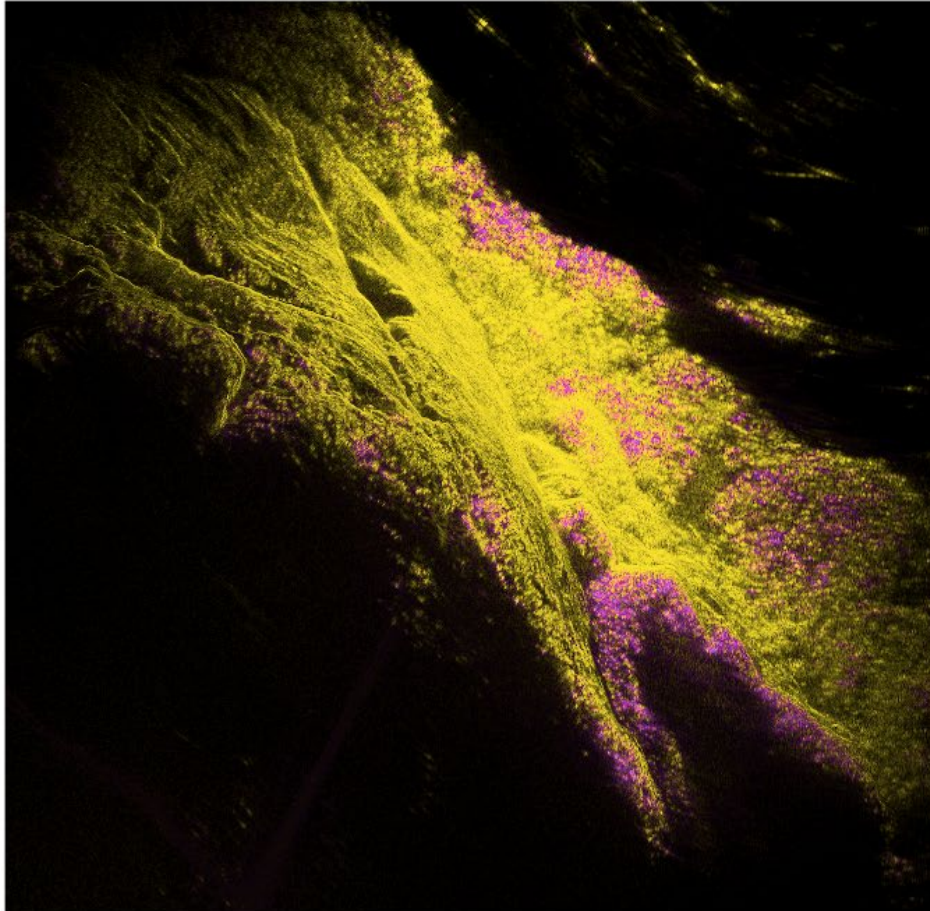


# L-band vs. Ku-band repeat-pass DInSAR coherence

L-band

repeat-pass time interval : (approx. 4 min)

Ku-band

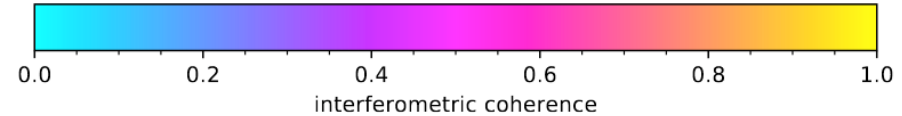
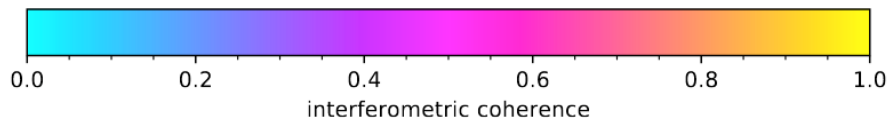
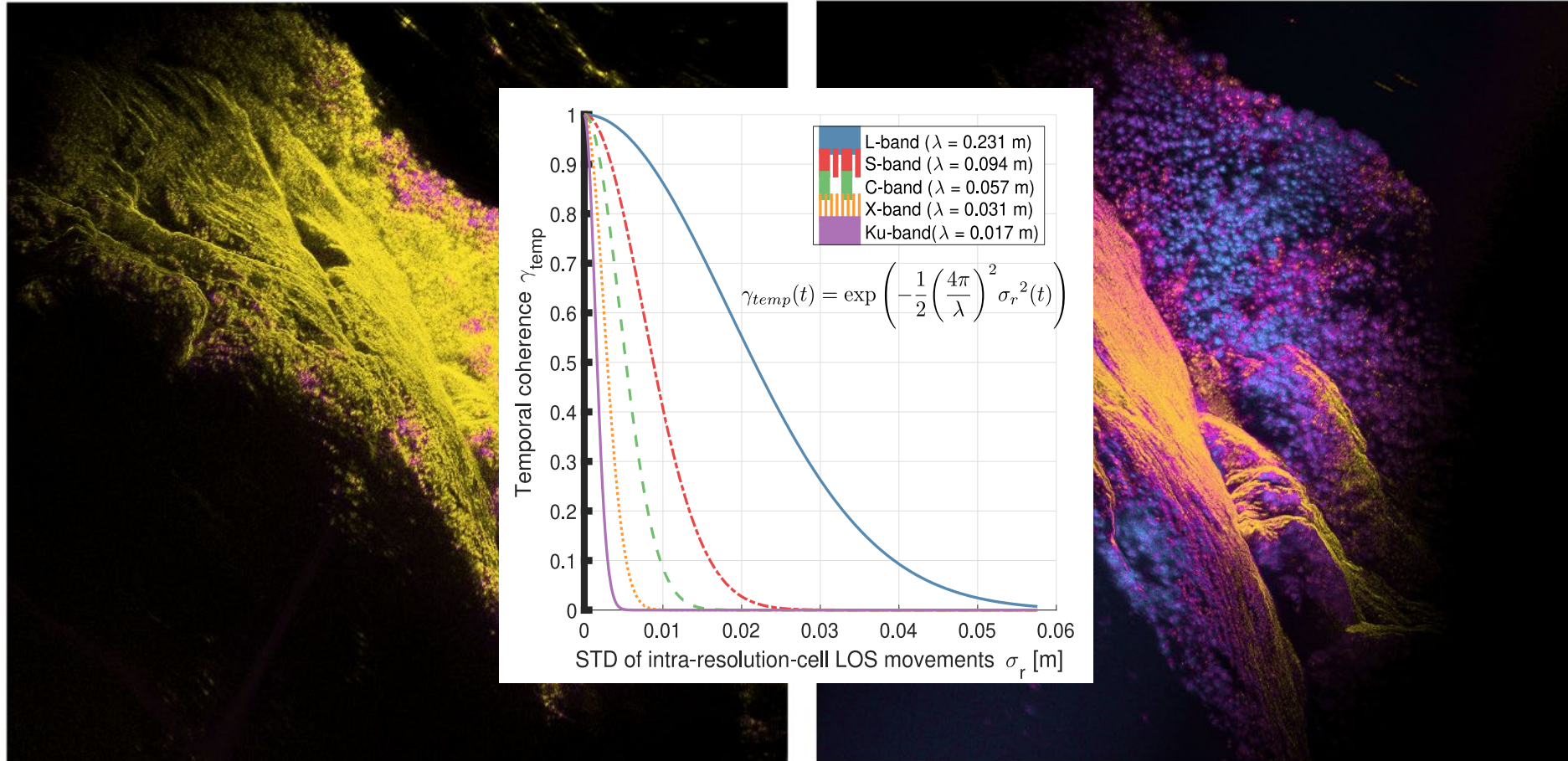


# L-band vs. Ku-band repeat-pass DInSAR coherence

L-band

repeat-pass time interval : (approx. 4 min)

Ku-band

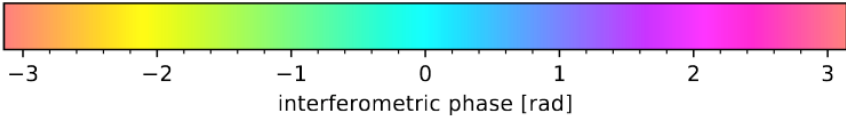
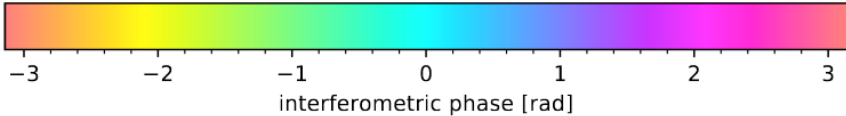
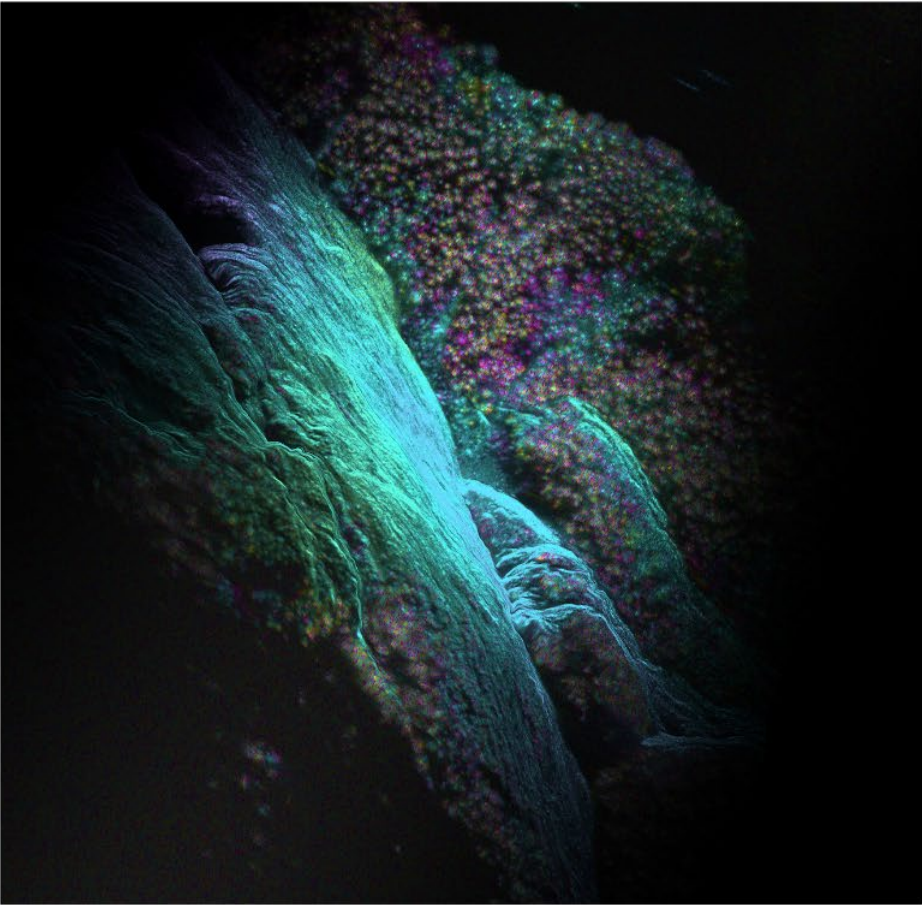
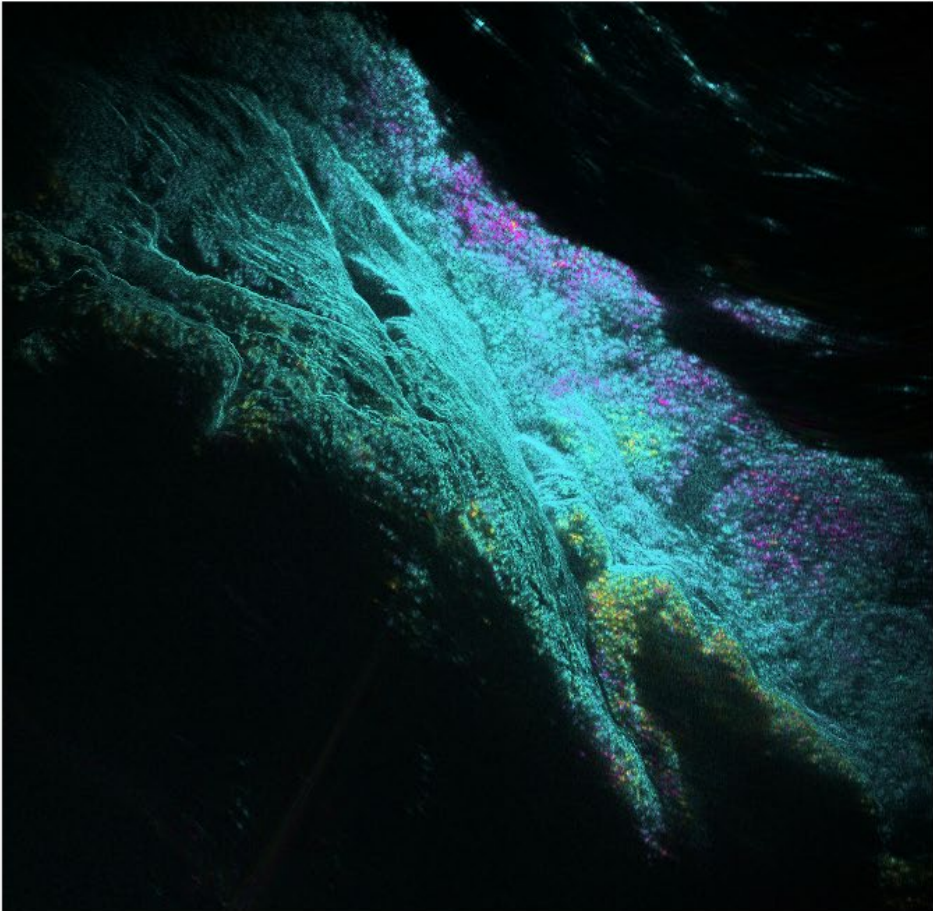


# L-band vs. Ku-band repeat-pass DInSAR phase

L-band

repeat-pass time interval : (approx. 4 min)

Ku-band



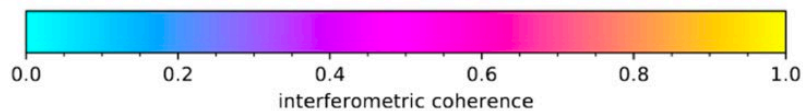
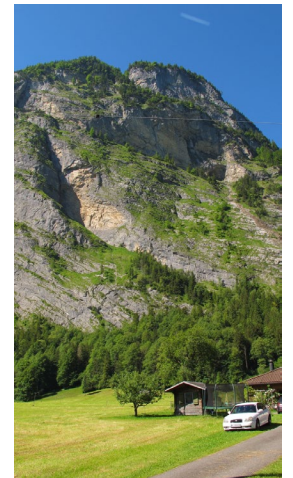
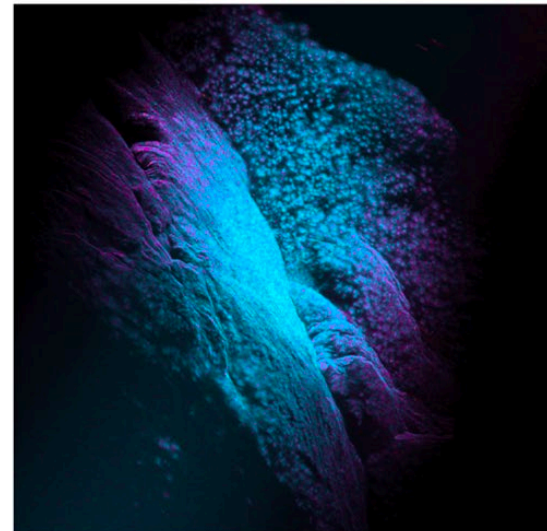
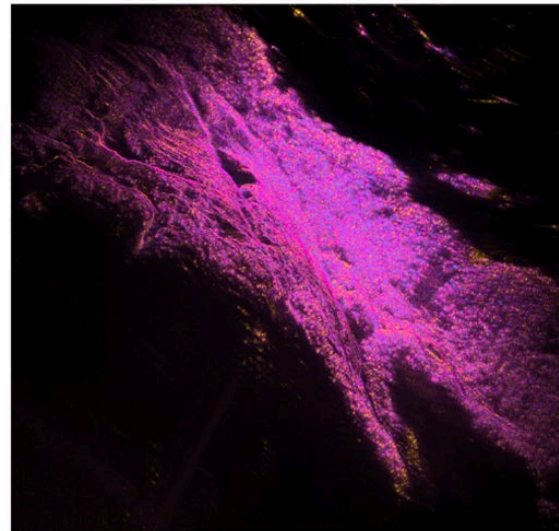
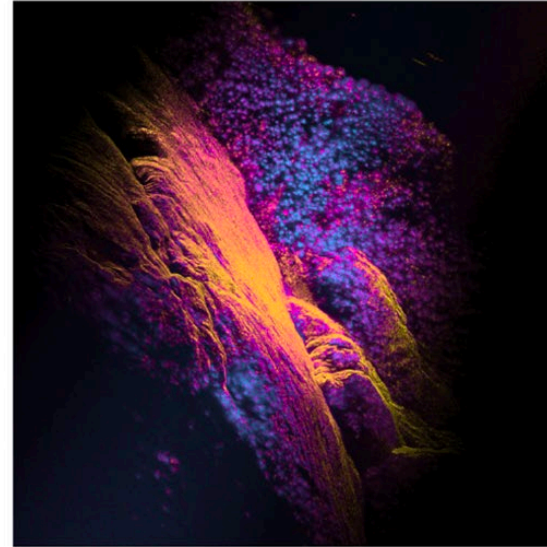
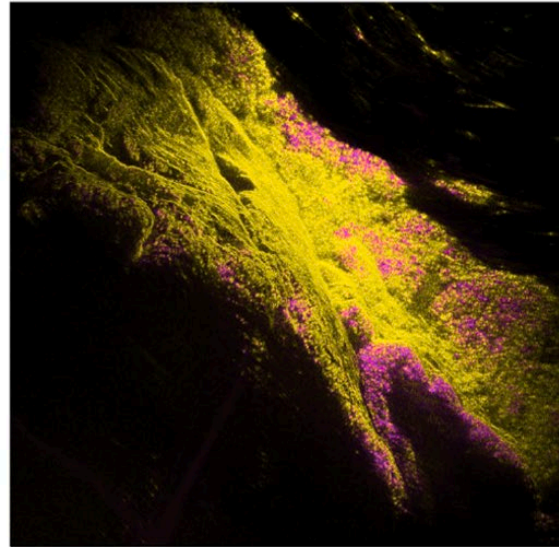
- Short term (4min) versus more challenging “extreme” case:
- 4 months temporal baseline winter /summer w/ varying surface properties

4 min

4 months

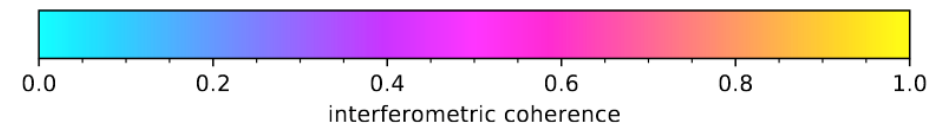
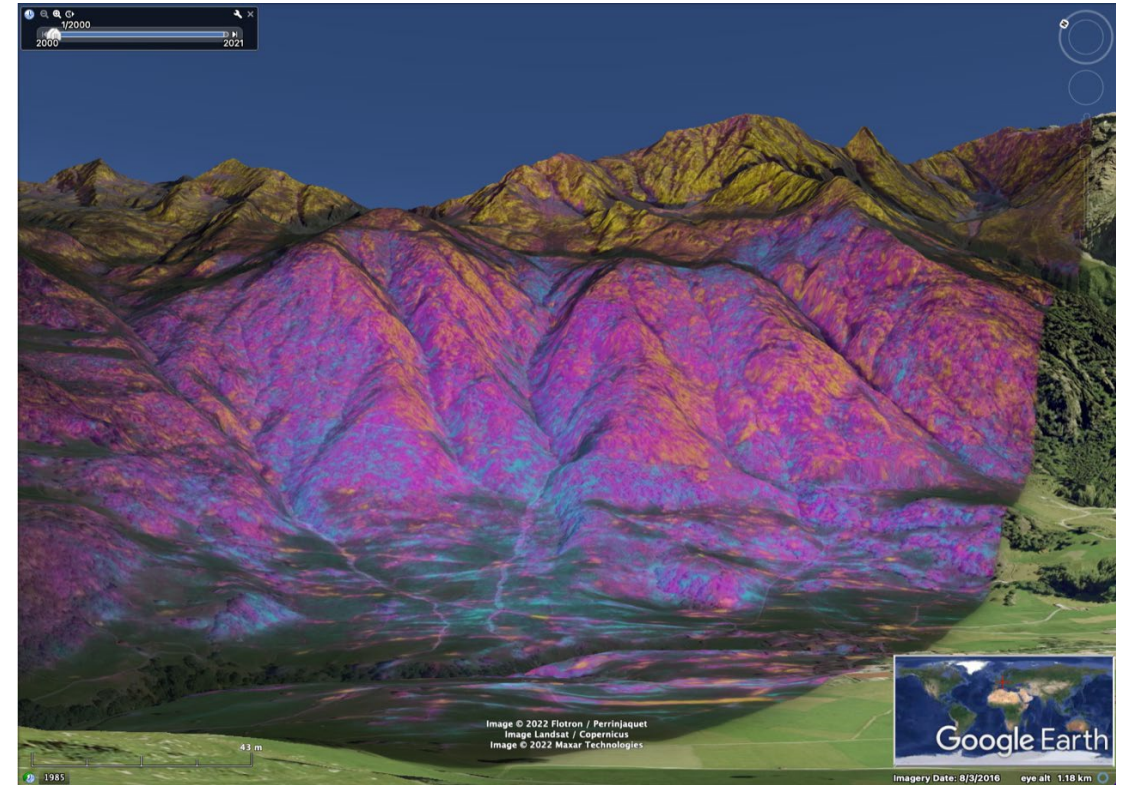
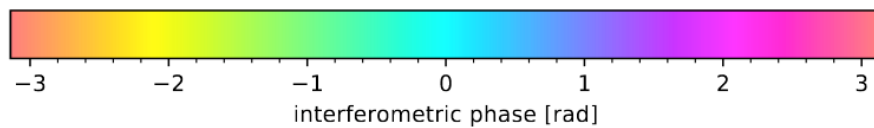
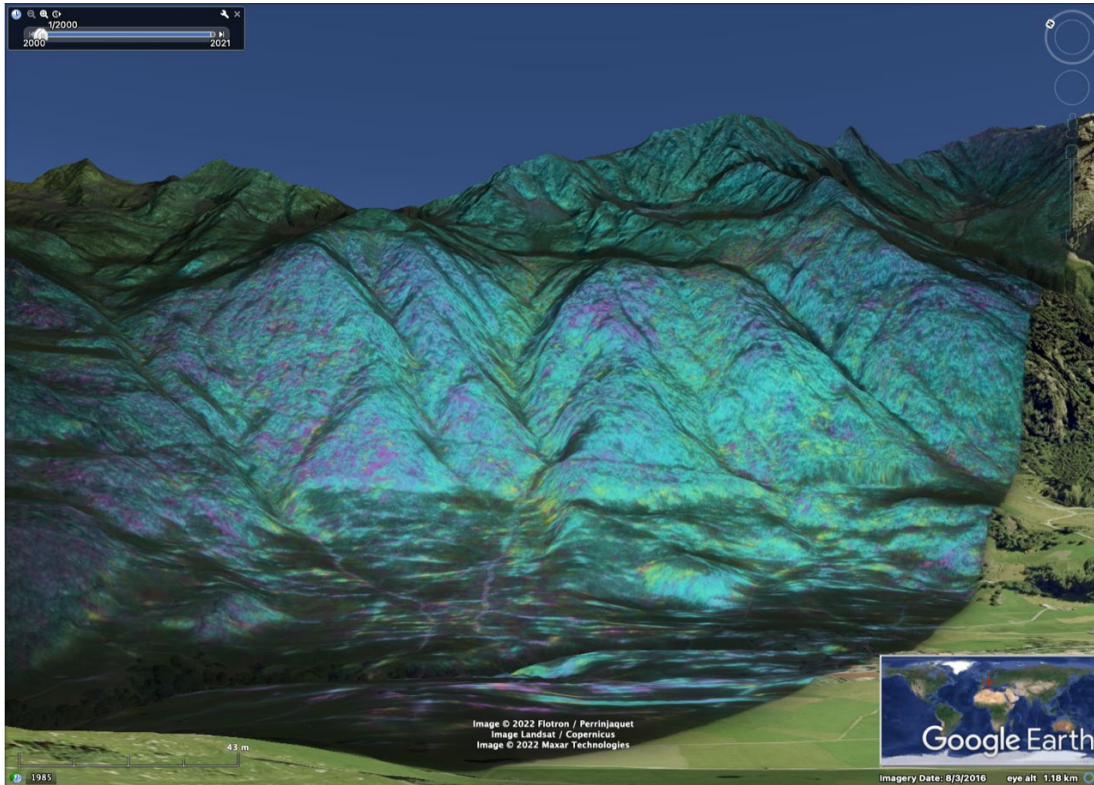
L-band

Ku-band



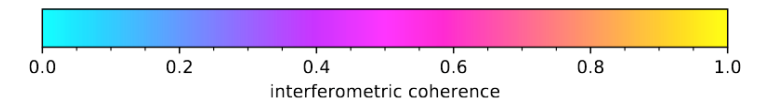
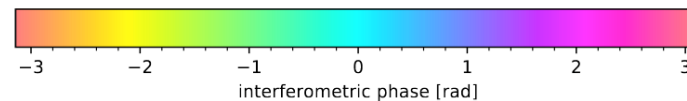
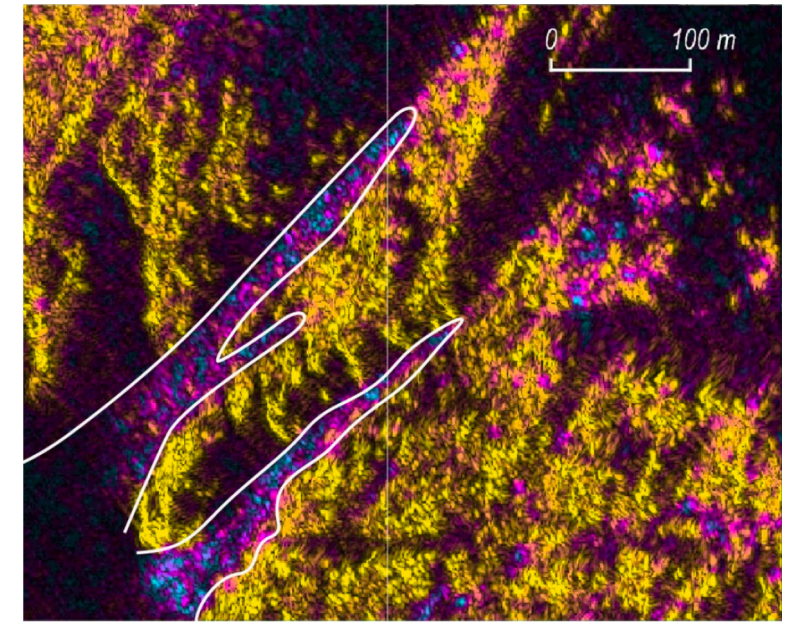
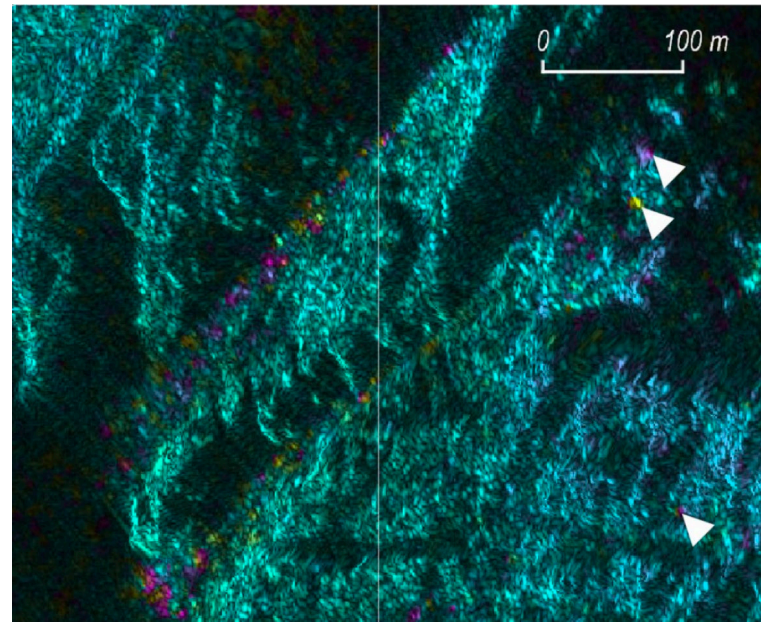
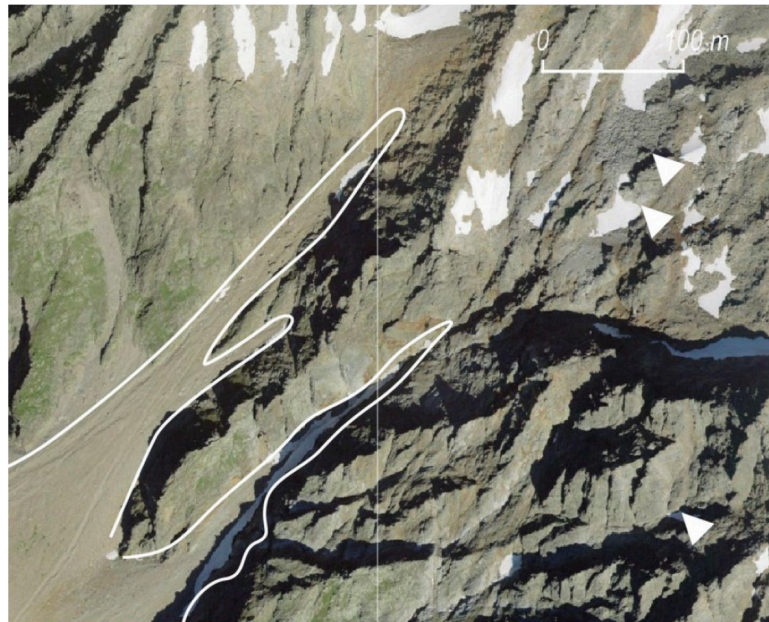
....ongoing campaigns:  
building up L-band / Ku-band interferometric time series  
at Guttannen and other locations in CH.

20211011\_141613\_20220614\_112638  
L-band interferogram



Close-up view at a range distance of ca. 4 km:

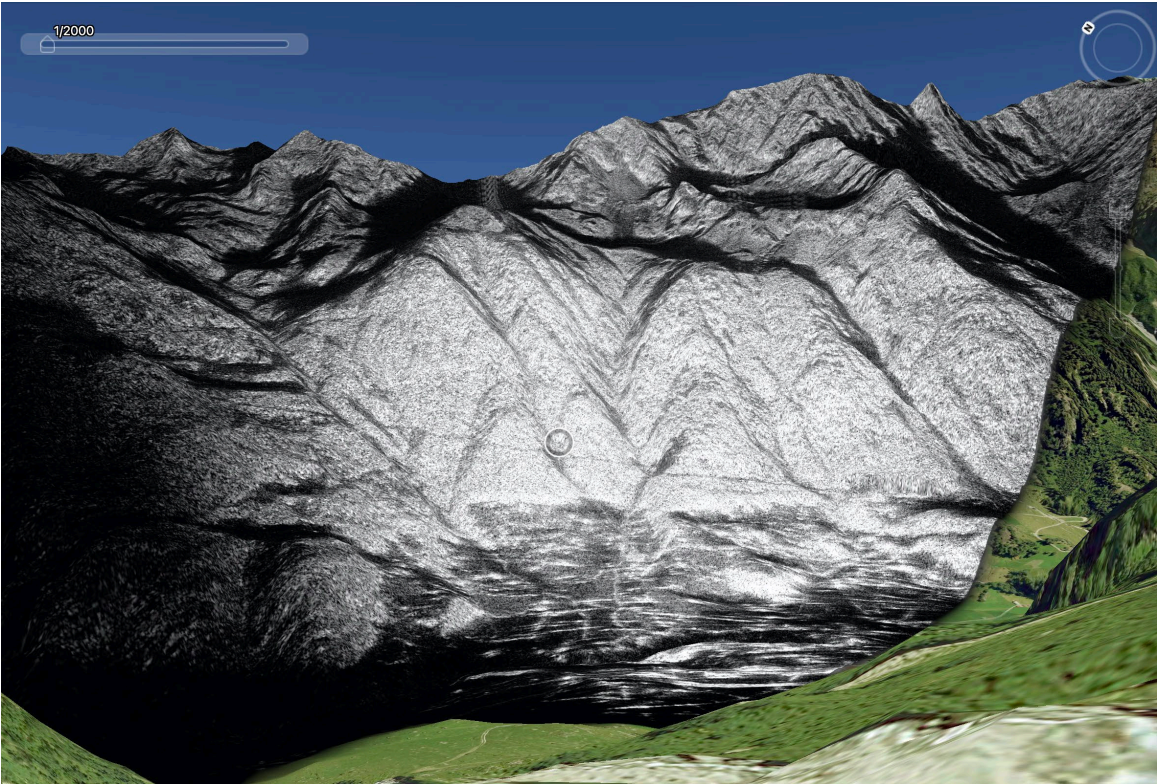
- car-borne L-band SAR 246-day coherence and interferogram
- at a high spatial resolution showing
  - decorrelation along the scree channels (white lines).
- White arrows indicate distinct spots with non-zero phase that could either be caused by remnant snow patches or local motion of the rocks.



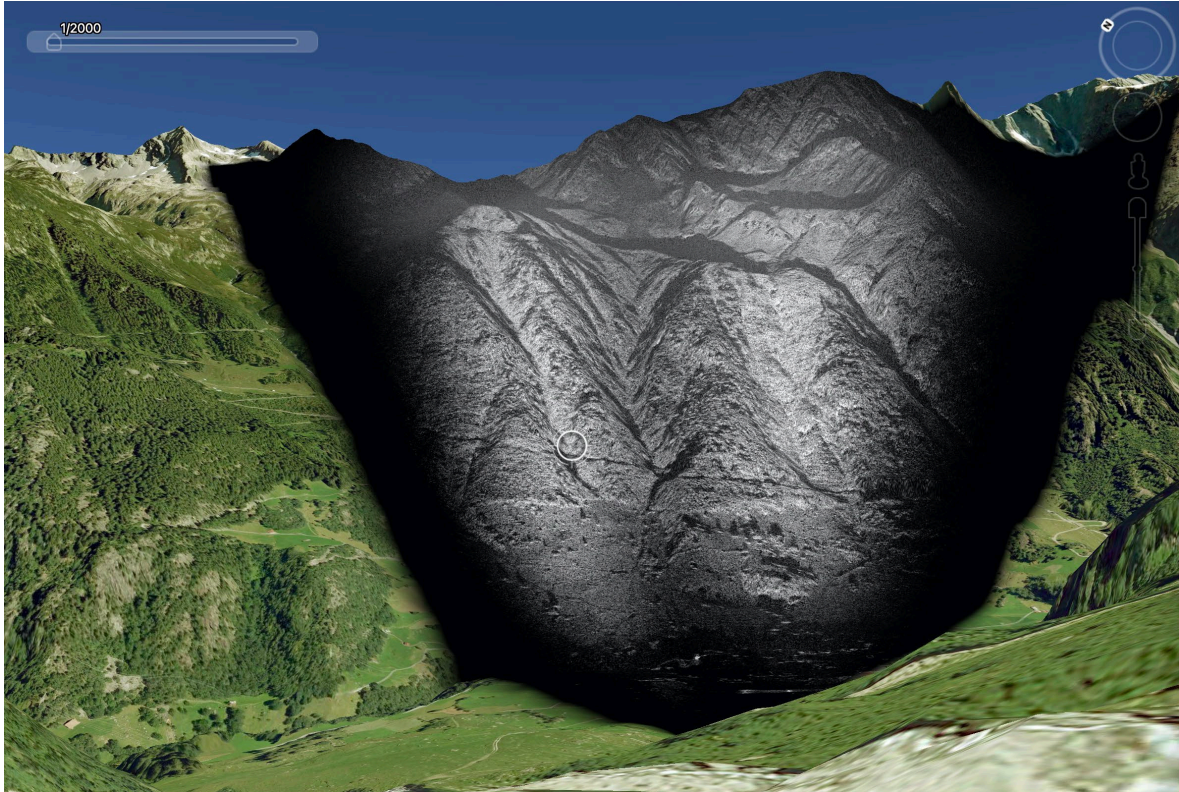
....ongoing campaigns:  
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L-band SAR image

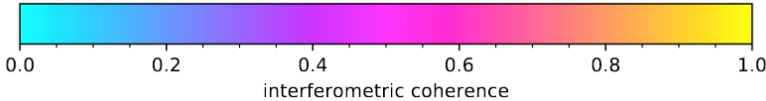
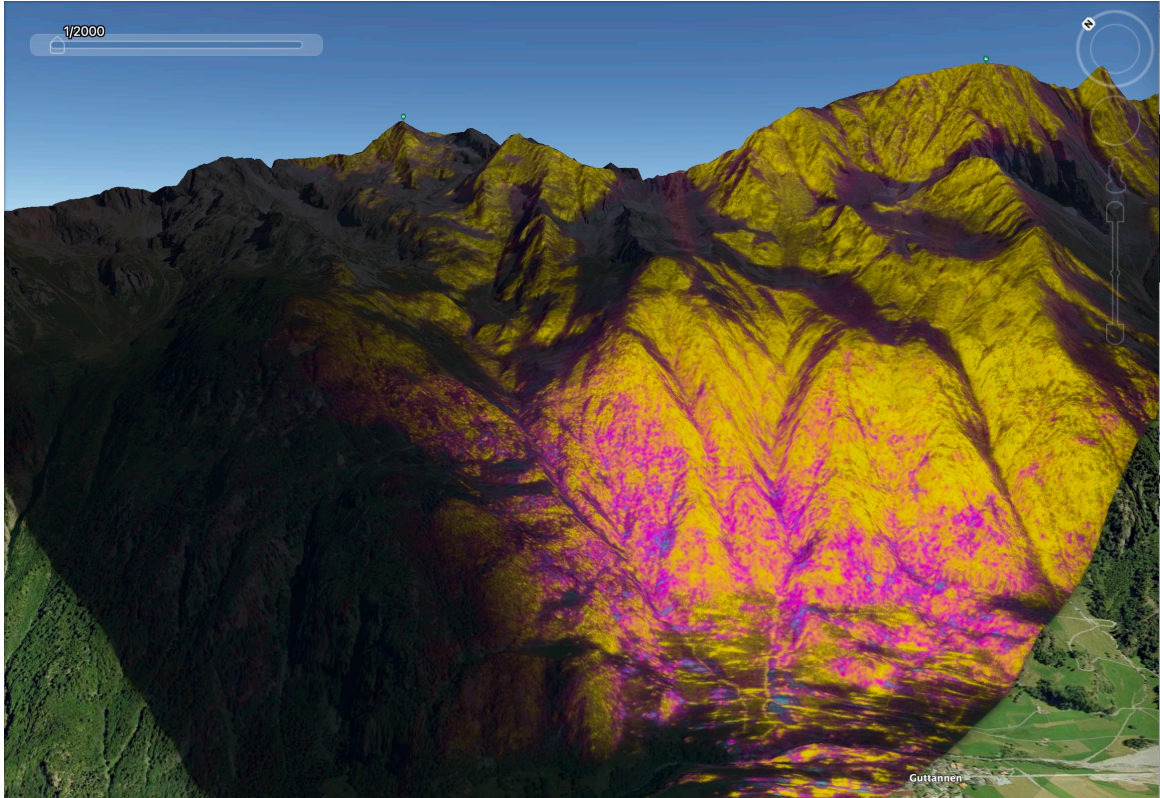
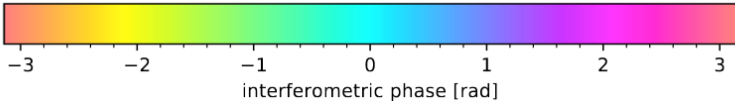
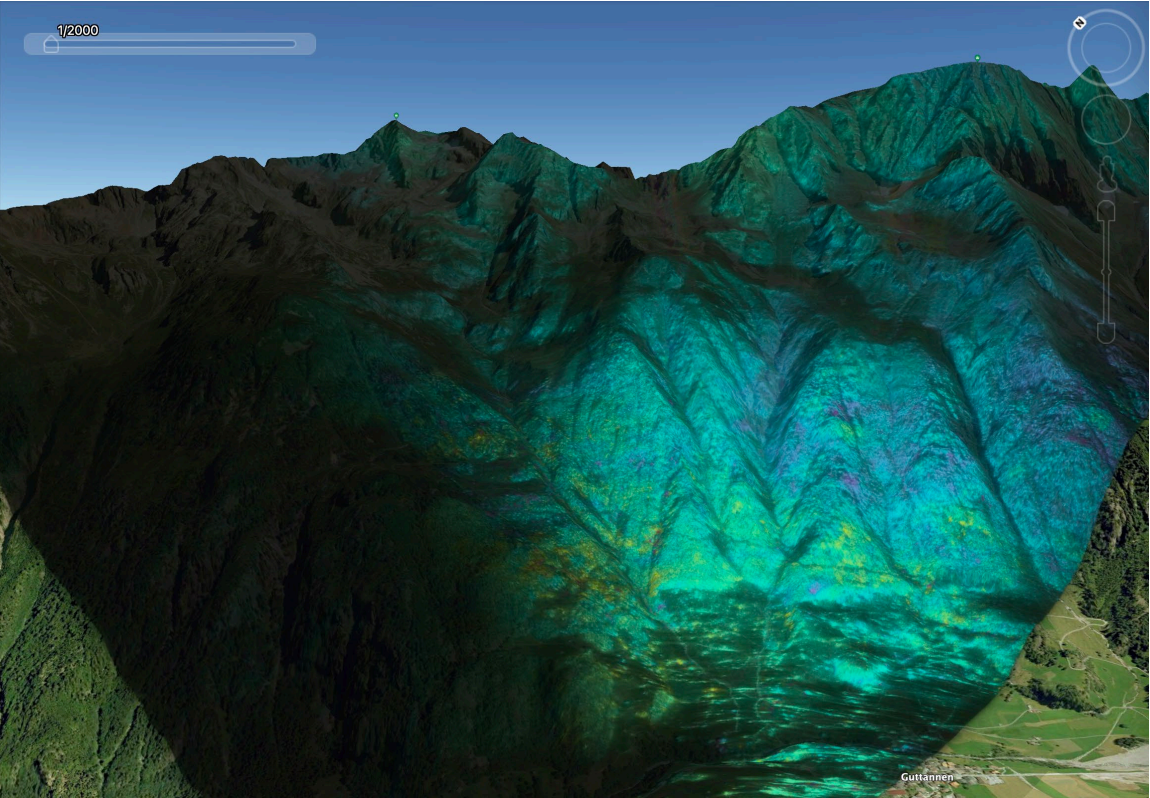


Ku-band SAR image



....ongoing campaigns:  
building up L-band / Ku-band interferometric time series  
at Guttannen.

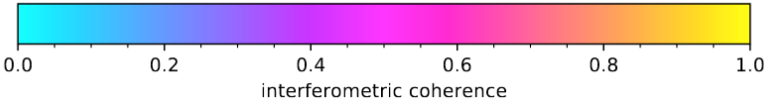
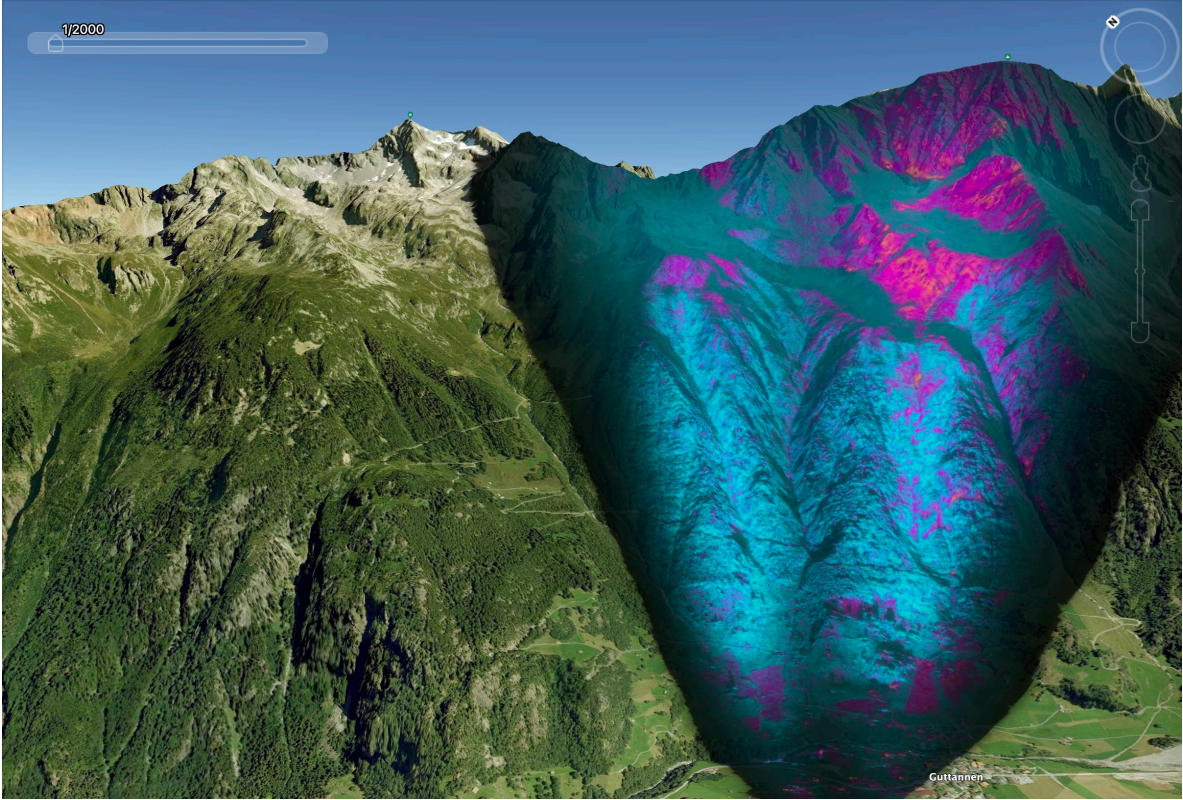
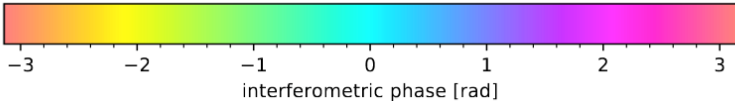
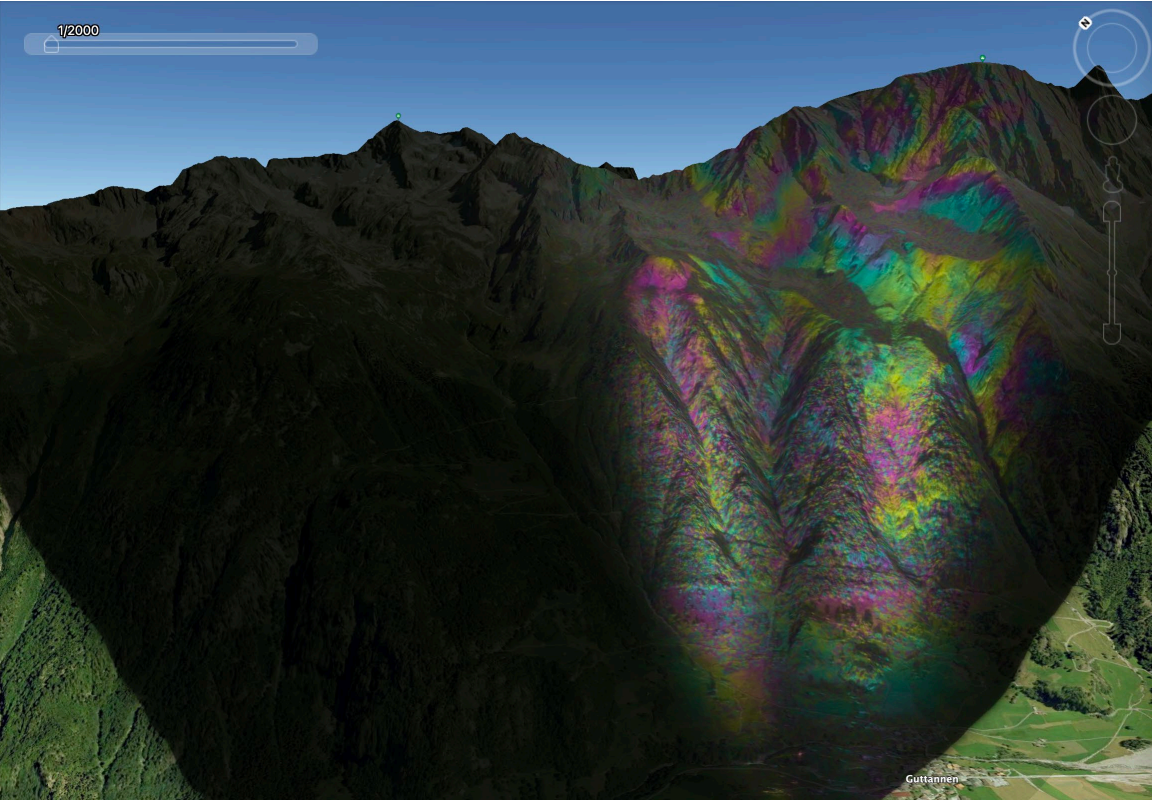
**L-band : 20220614\_112638\_20220614\_124409 ~ 1h 17 min**





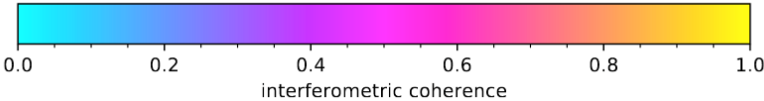
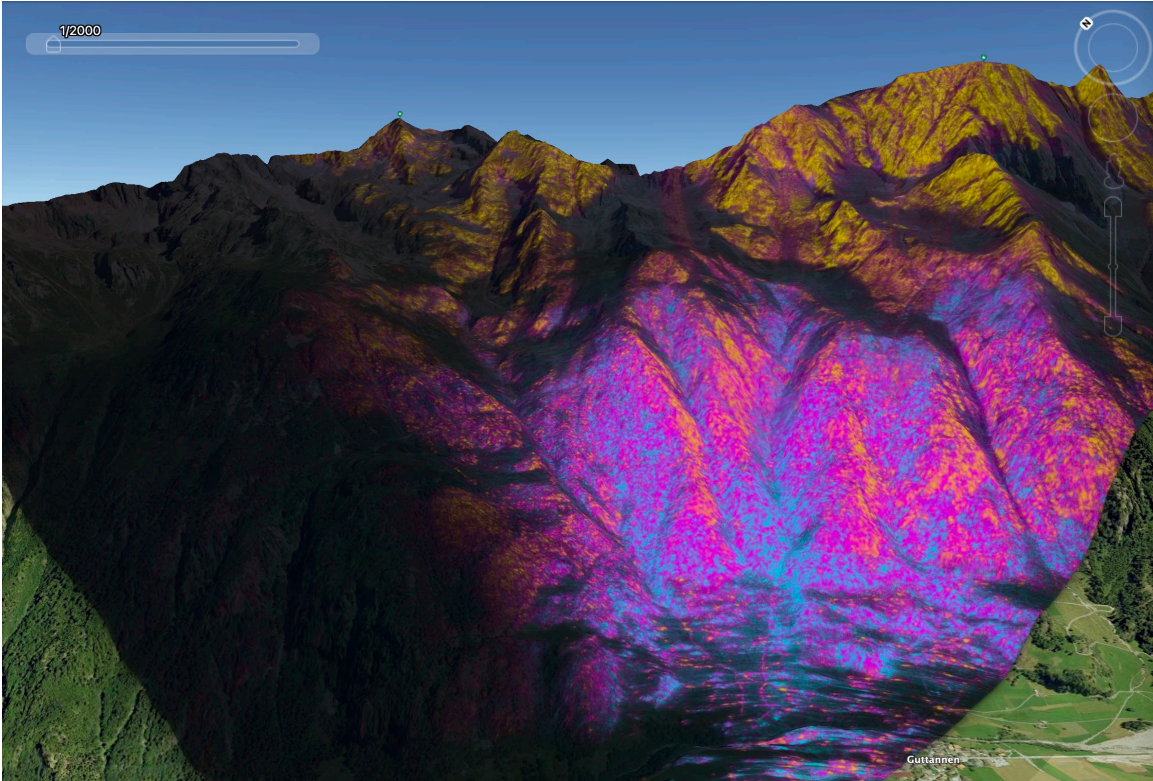
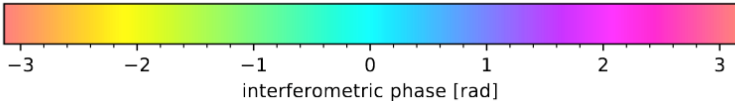
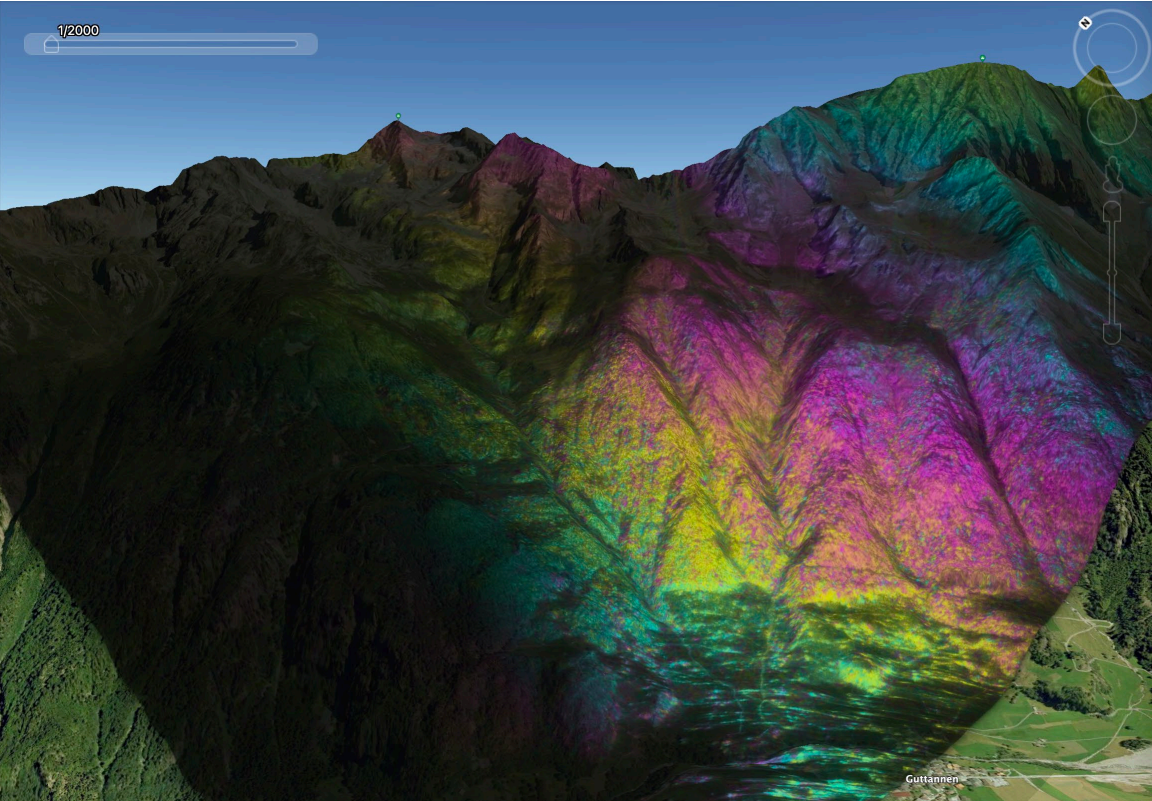
....ongoing campaigns:  
building up L-band / Ku-band interferometric time series  
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**Ku-band : 20220614\_112638\_20220614\_124409 ~ 1h 17 min**



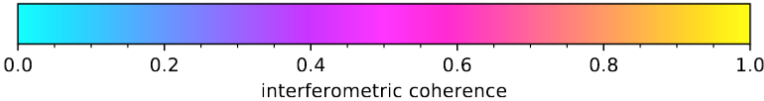
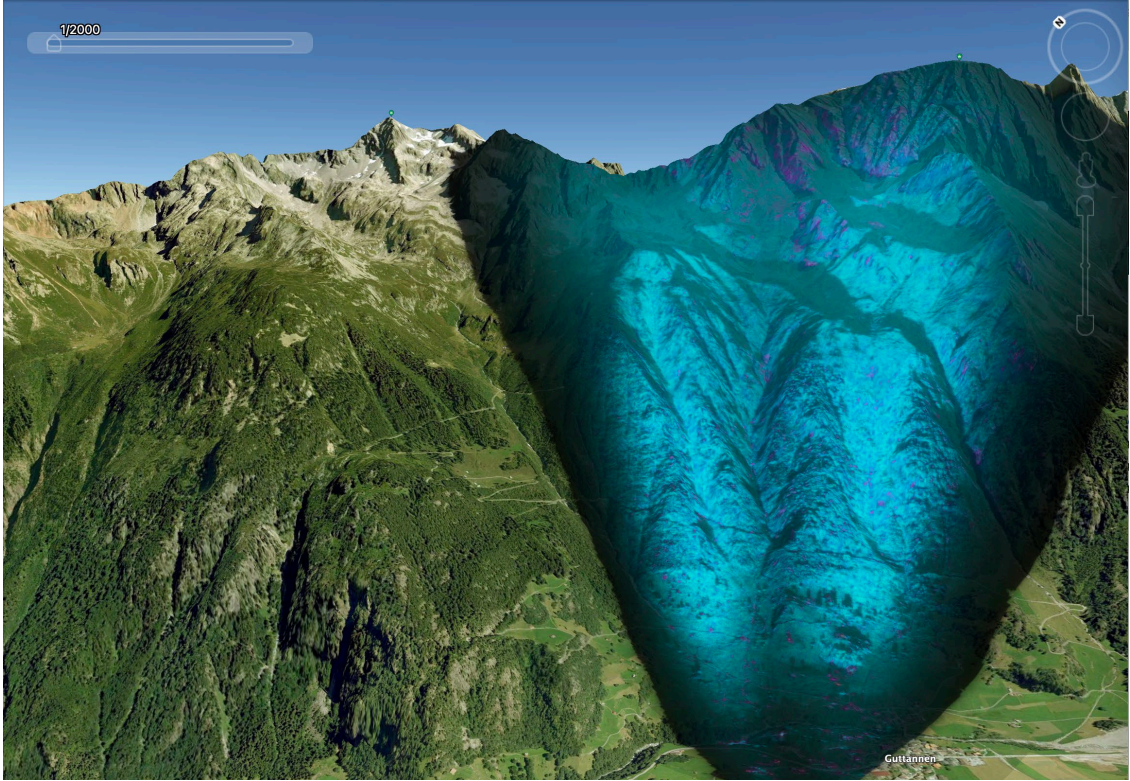
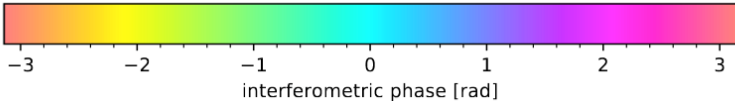
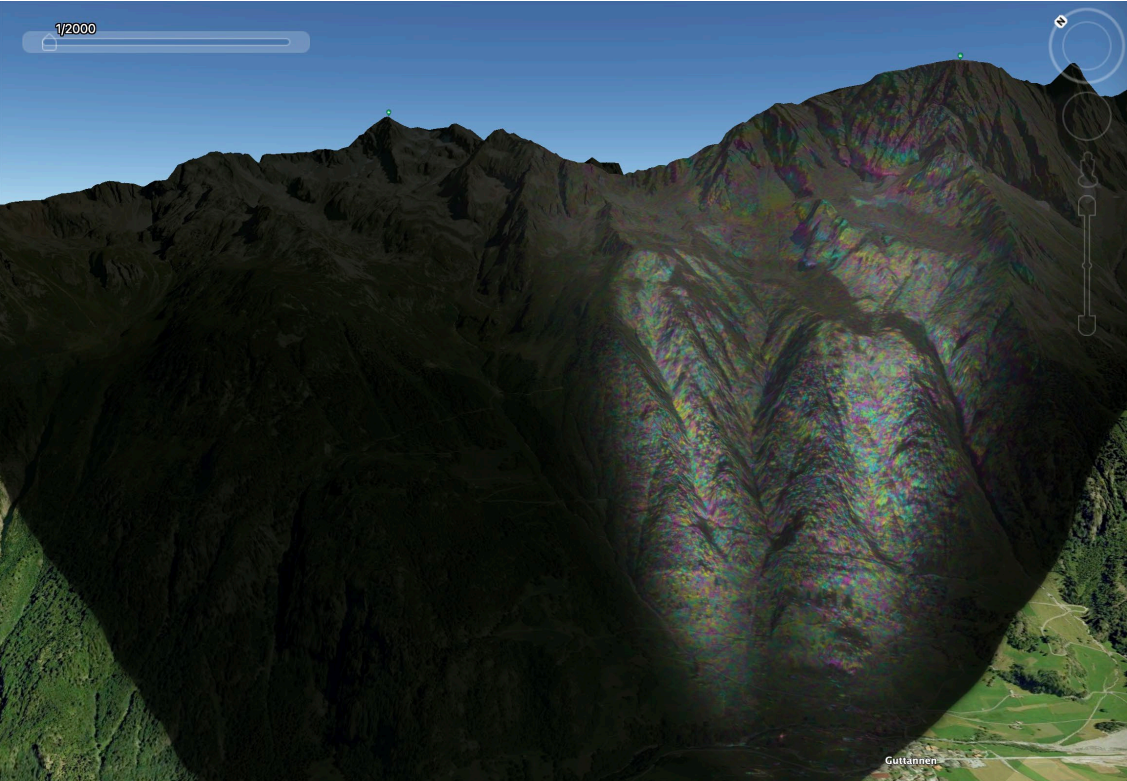
....ongoing campaigns:  
building up L-band / Ku-band interferometric time series  
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**L-band : 20220614\_112638\_20221018\_113024 ~ 4 months**



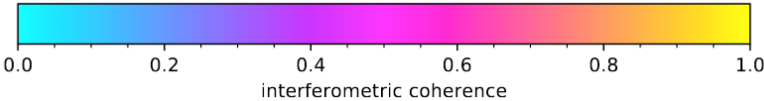
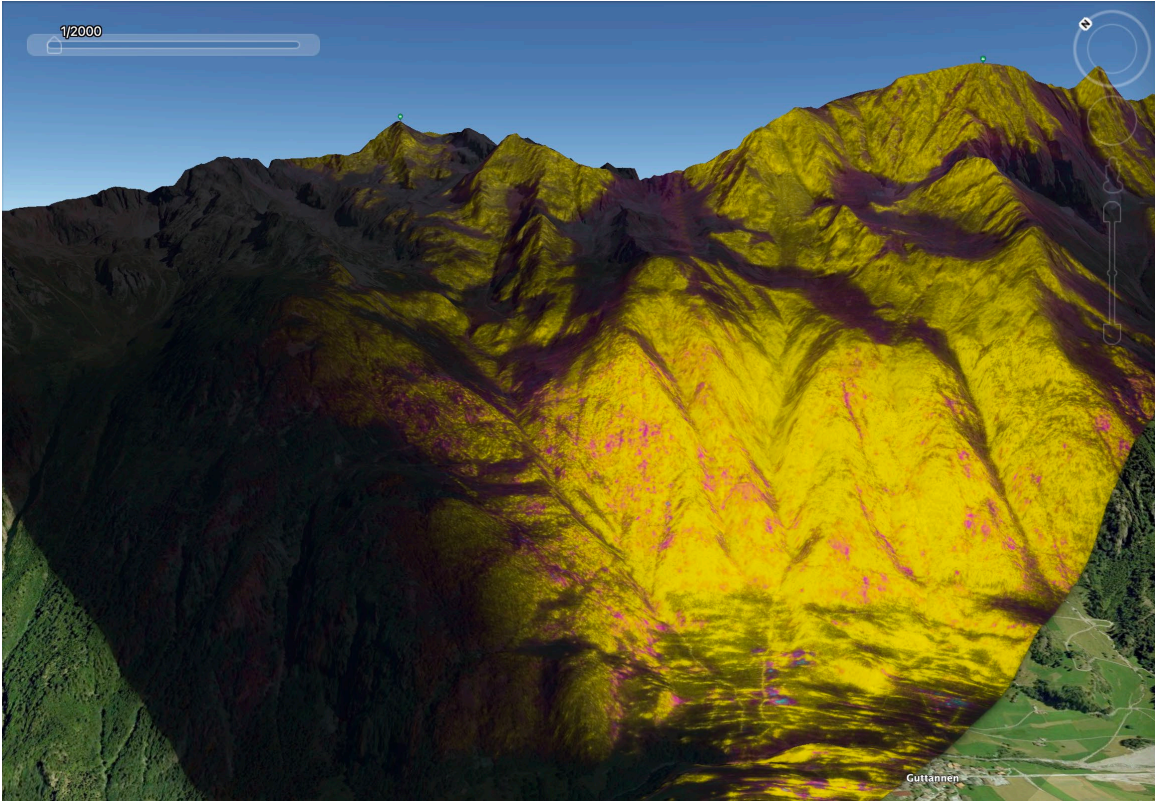
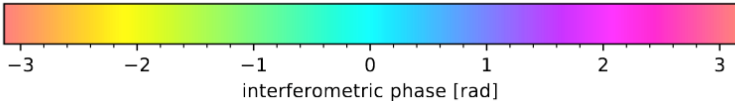
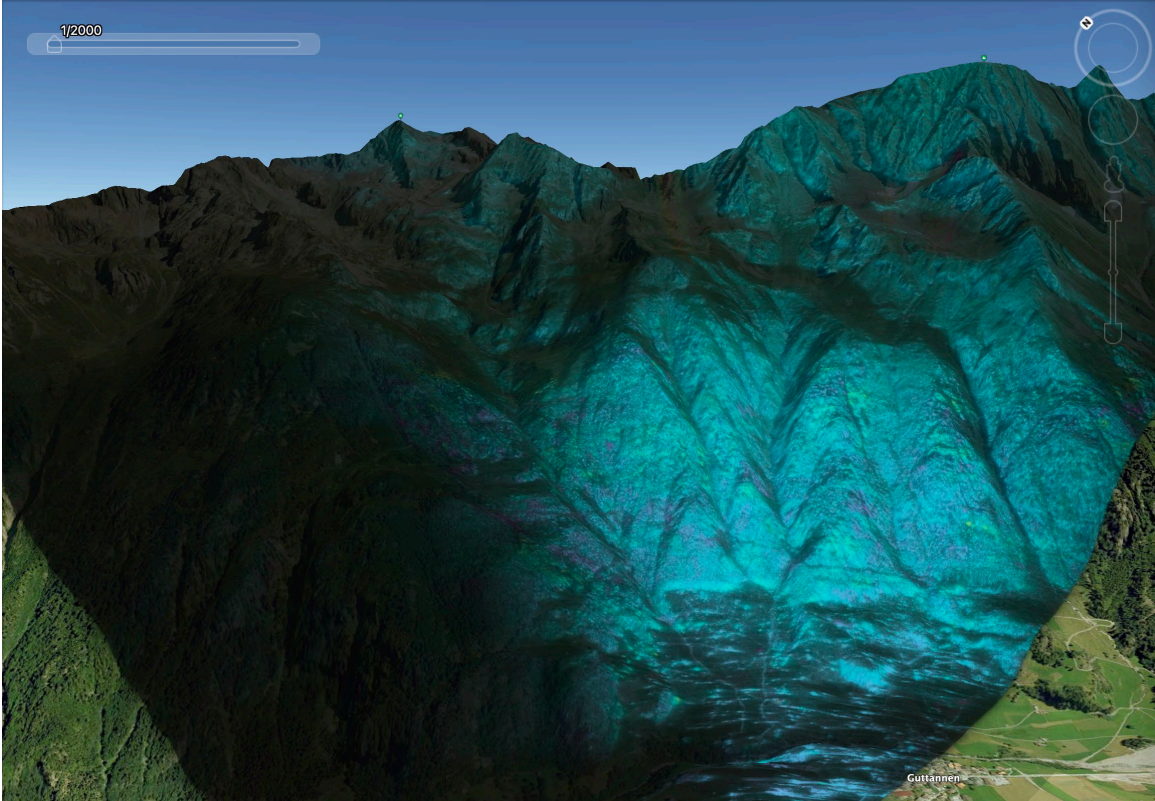
....ongoing campaigns:  
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at Guttannen.

**Ku-band : 20220614\_112638\_20221018\_113024 ~ 4 months**



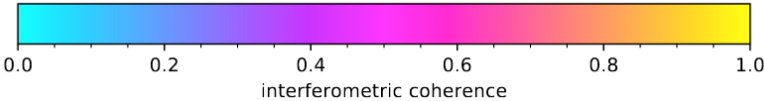
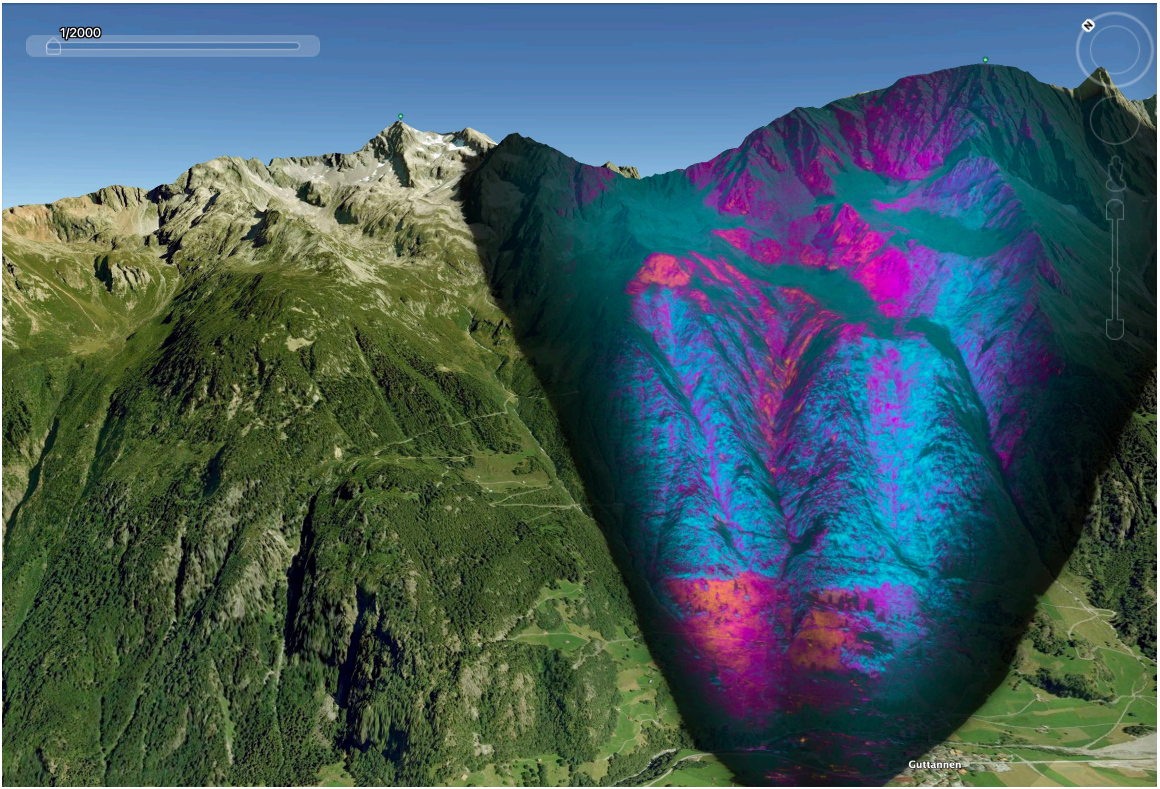
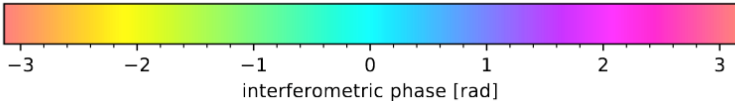
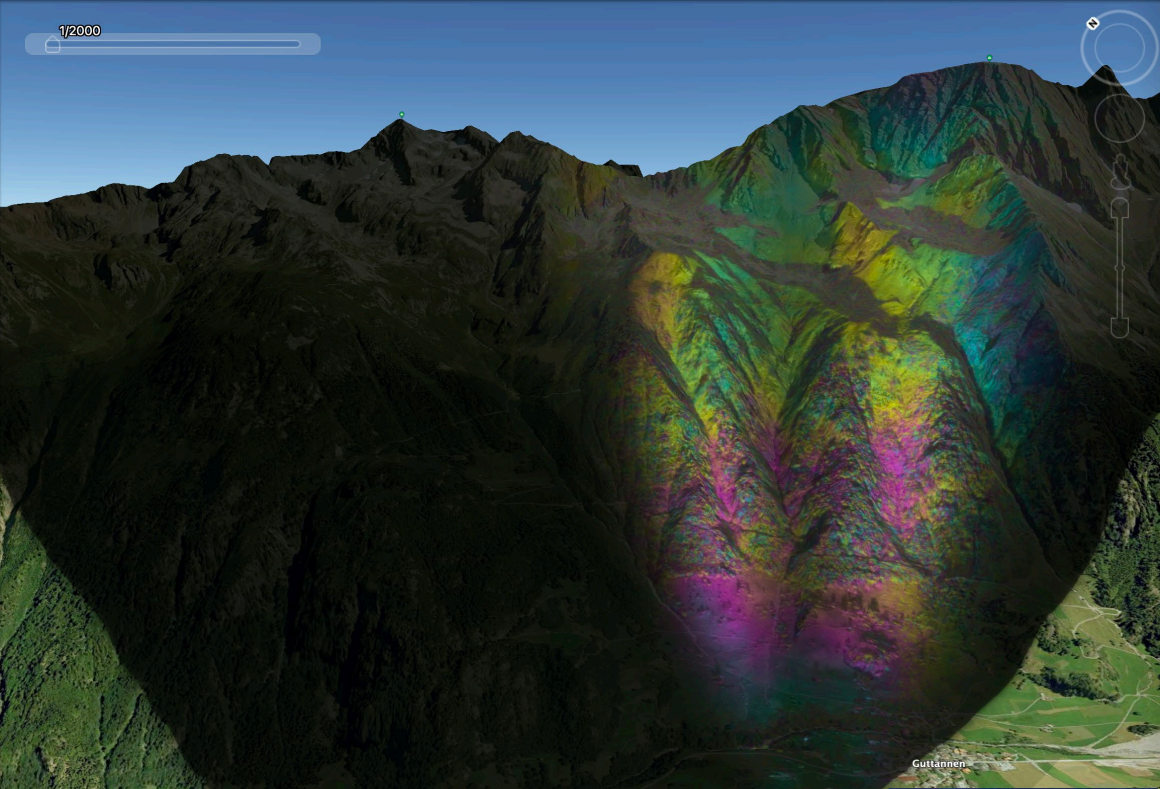
....ongoing campaigns:  
building up L-band / Ku-band interferometric time series  
at Guttannen.

**L-band : 20221018\_113024\_20221018\_114751 ~ 17 min**



....ongoing campaigns:  
building up L-band / Ku-band interferometric time series  
at Guttannen.

**Ku-band : 20221018\_113024\_20221018\_114751 ~ 17 min**



# Summary

## High-resolution repeat-pass DInSAR from agile platforms

- **works at L-band. Operational!** → Decent INS/GNSS required.
- at Ku-band: we are on a good track, but not entirely there, yet:
  - First car-borne **simultaneous L-/Ku-band repeat-pass DInSAR** measurements are promising:
    - **Good SAR image focusing quality** also at Ku-band, in general.
    - **High-resolution** SAR imagery/phase/coherence maps were obtained at both **L-band and Ku-band**.
    - As expected, the coherence is lower at Ku-band for natural terrain but **good coherence and phase quality** were obtained for **short-term intervals in similar conditions at Ku-band**.
      - Ku-band DInSAR is promising to measure subtle displacement at rock surfaces with **high-spatial resolution**.
- Car-based **Ku-band** repeat-pass DInSAR is still **work in progress...** with on-going campaigns at different sites.
- First results with the **dual-frequency setup** confirm the potential and **complementarity of L-band and Ku-band measurements** for different ground motion processes and varying conditions.

# Outlook

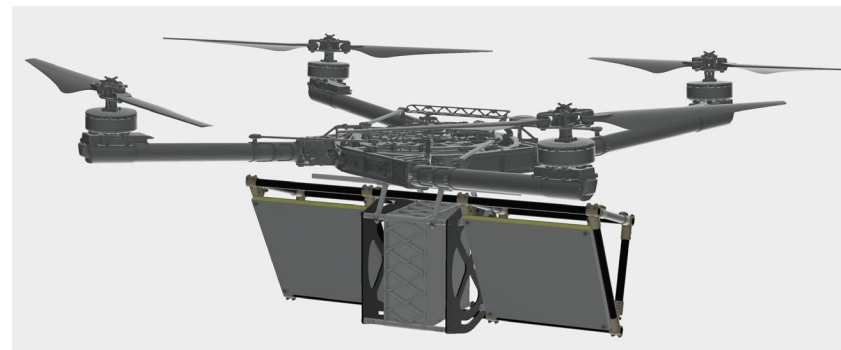
## Car-borne DInSAR campaigns:

- Building up carborne DInSAR time series at L-band and some Ku-band at several locations to develop and support ground motion applications/services.



## UAV-borne DInSAR:

- Down-sized L-band SAR being developed
  - total payload : < 8 kg
  - for quadcopter drones
  - and other platforms ...



# Acknowledgements

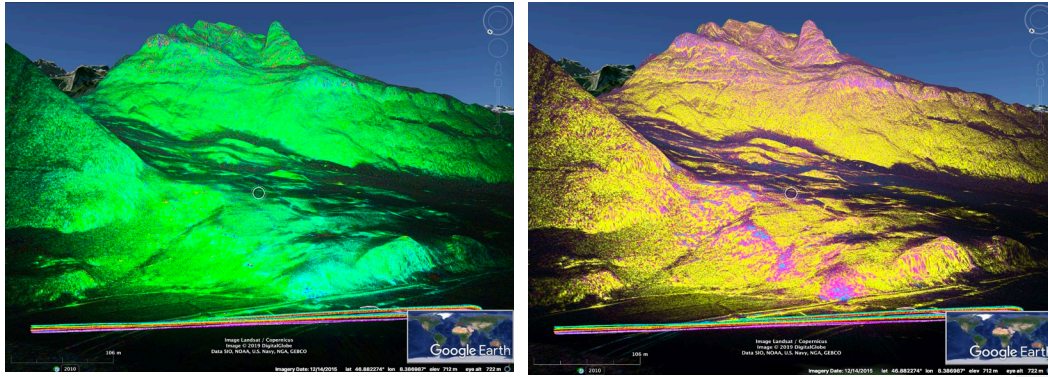
- The SAR imagery is processed onto the high-resolution digital elevation model swissALTI3D copyright: swisstopo.
- 3D visualizations in Google Earth with respective copyrights of the data provider.



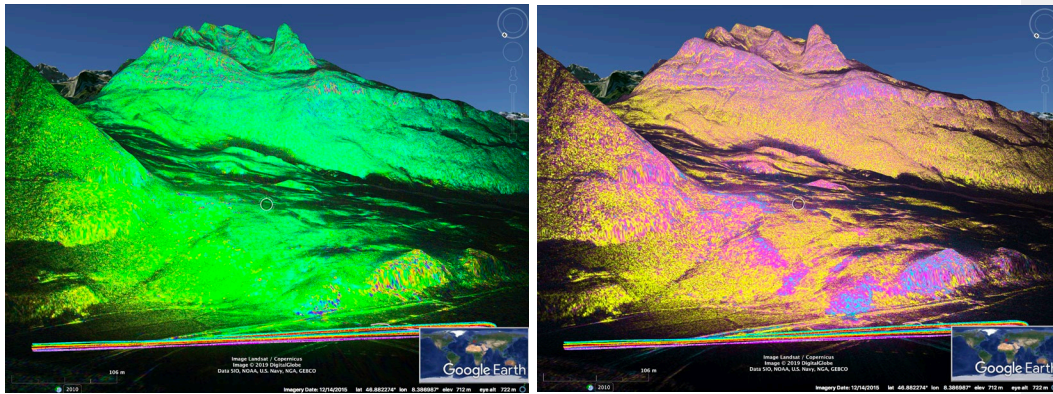
# Additional slides

# Good repeat-pass performance for short-term and zero-baselines & InSAR volume decorrelation for increasing spatial baselines

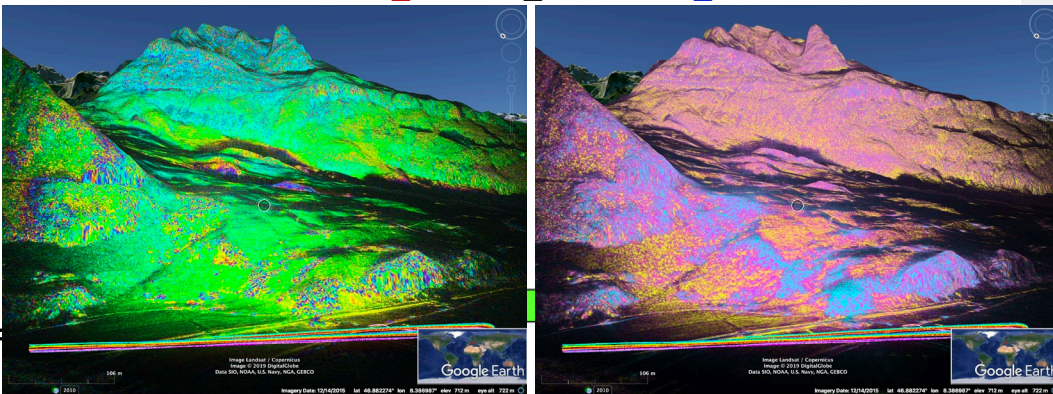
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20190213\_095524 20190213\_095816

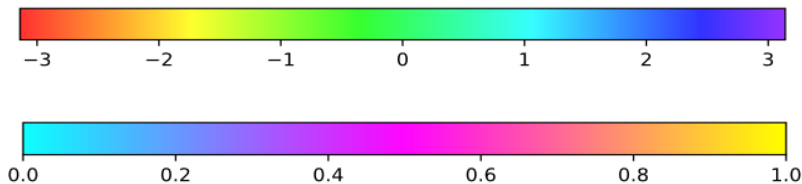
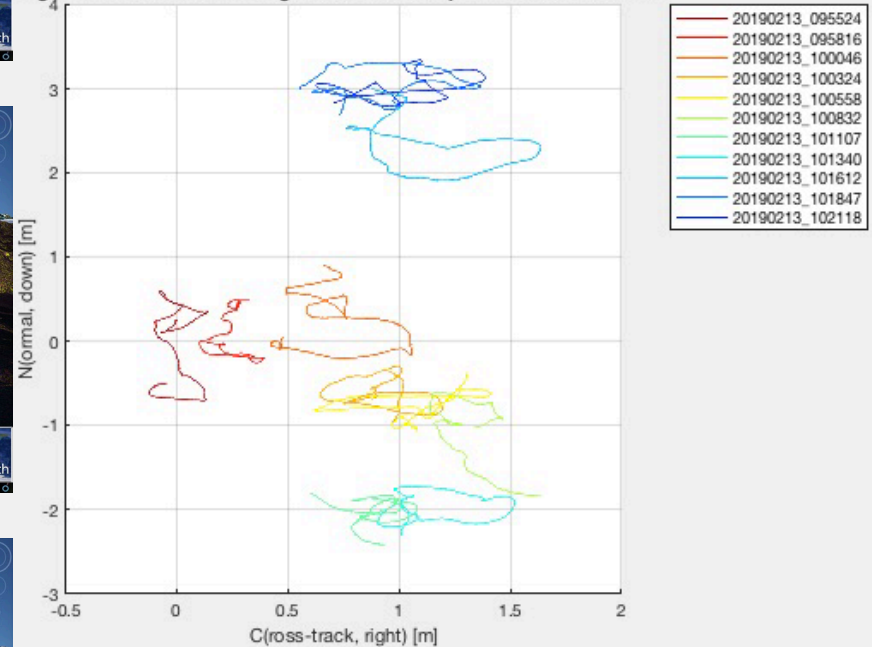


20190213\_095524 20190213\_101847



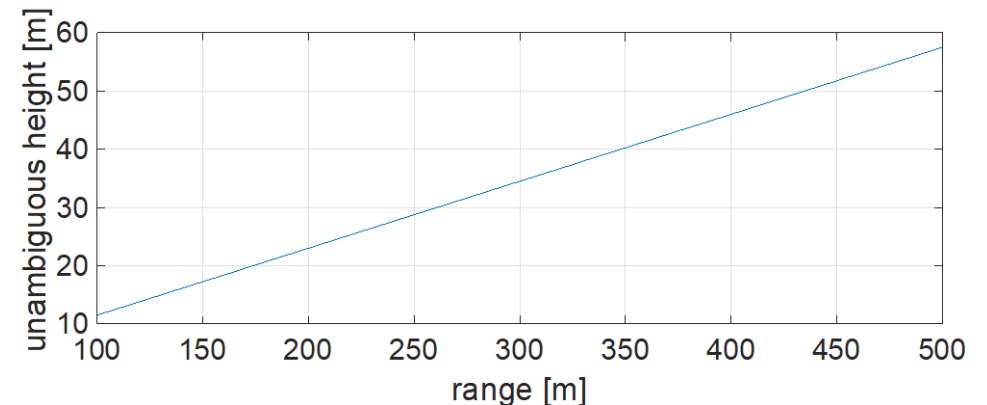
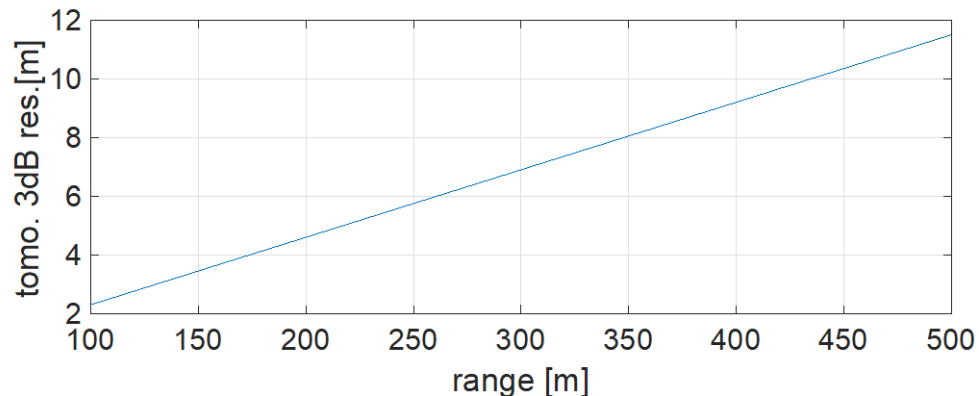
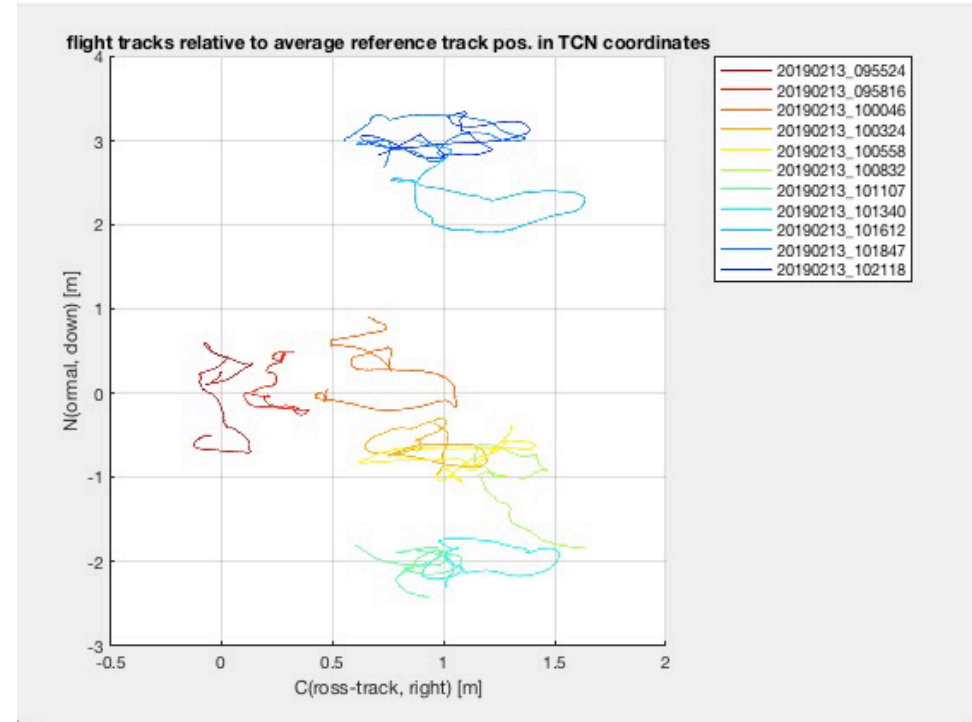
- Per track, the flight trajectory tube is within < 1m diameter.
- over time the positioning/altitude drifts (GNSS code solution / barometric height).
- With the Scout B-100 UAV it is difficult to fly exactly on the a priori-defined tracks.

flight tracks relative to average reference track pos. in TCN coordinates

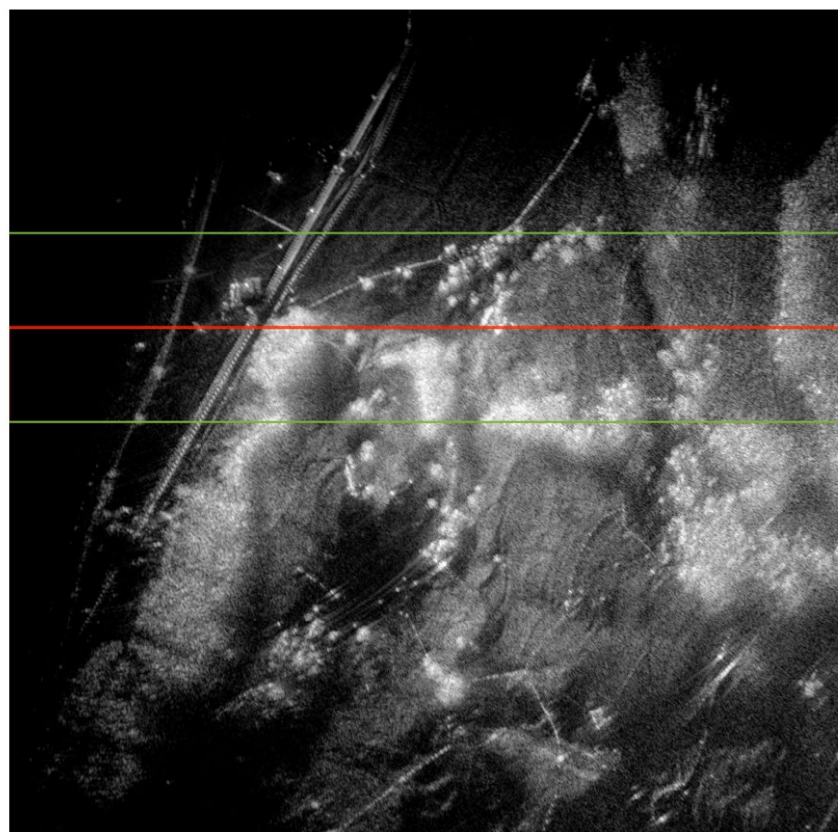


# SAR Tomography processing of repeat-pass multi-baseline data

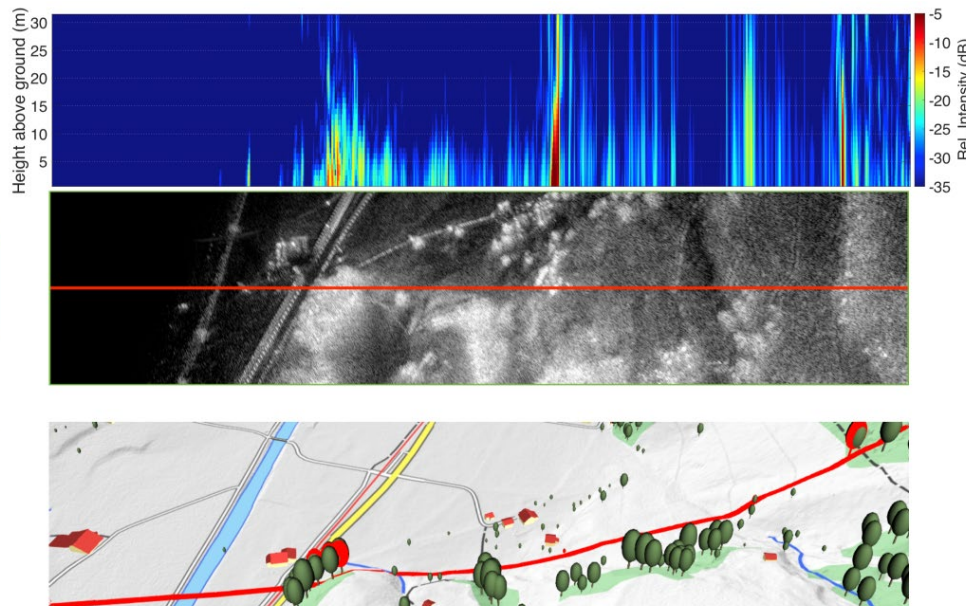
- Scout B-100 UAV : difficult to fly “exactly” on a-priori-defined tracks.
  - Non-ideal sampling (common problem):
    - Per track, the flight trajectory tube is within < 1m diameter.
    - Non-uniform baseline distribution
- We picked **6 tracks** that
  - cover a **total vertical baseline span** of approx. 5 m
  - have an **average vertical track spacing** of 1m with reasonable distribution.
  - Nominal resolution: 
$$\delta_n = \frac{\lambda r}{2L}$$
  - Unambiguous height: 
$$H_{unamb} = \frac{\lambda r}{2d_n}$$



# SAR tomography (multi-look beamforming): vertical transect



750 m



$$\hat{P}_B(z) = \mathbf{a}^H(z) \hat{\mathbf{R}}_y(z) \mathbf{a}(z)$$

$$\mathbf{a}(z) = [1 \ e^{i\varphi_2(z)} \ \dots \ e^{i\varphi_K(z)}]^T$$

$$\varphi_m(z) = -2k_c(r_m(z) - r_1(z)), \quad m = 1, \dots, K$$

$$\hat{\mathbf{R}}_y(z) = \frac{1}{N} \sum_{n=1}^N \mathbf{y}(n, z) \mathbf{y}^H(n, z)$$

Frey, O., Meier, E.:

“3-D Time-Domain SAR Imaging of a Forest Using  
Airborne Multibaseline Data at L- and P-Bands”.

IEEE Trans. Geosci. Remote Sens., 49(10):3660-3664, 2011.

# Solution for SAR imaging

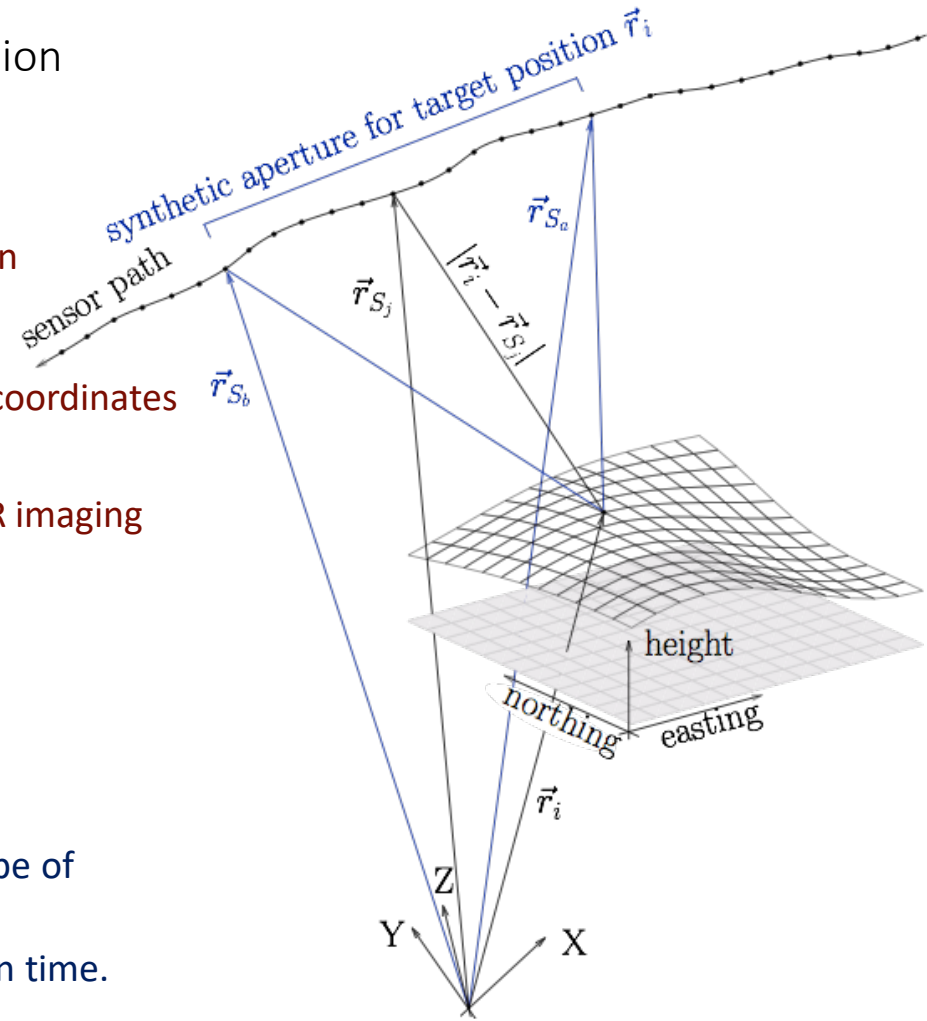
## → GPU-Based Parallelized Time-Domain Back-Projection

### Why time-domain back-projection (TDBP) focusing?

- Assets of TDBP focusing:
  - Full 3-D motion compensation including terrain information
  - Arbitrary sensor trajectory!
  - Focused complex SAR images directly in map coordinates
- Our current focus:
  - Agile platforms: UAV-borne and car-borne SAR imaging along (curvilinear) roads:
  - Envisaged application:  
Goal: → Displacement/geohazard monitoring

### Why GPU-based TDBP processing?

- TDBP is a single instruction multiple data (SIMD)- type of algorithm
- Ideal for implementation on GPU → Faster execution time.



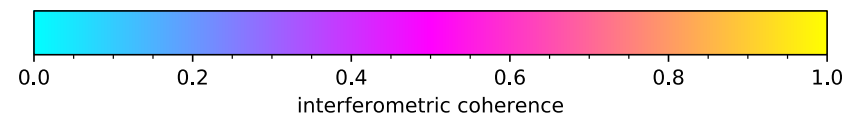
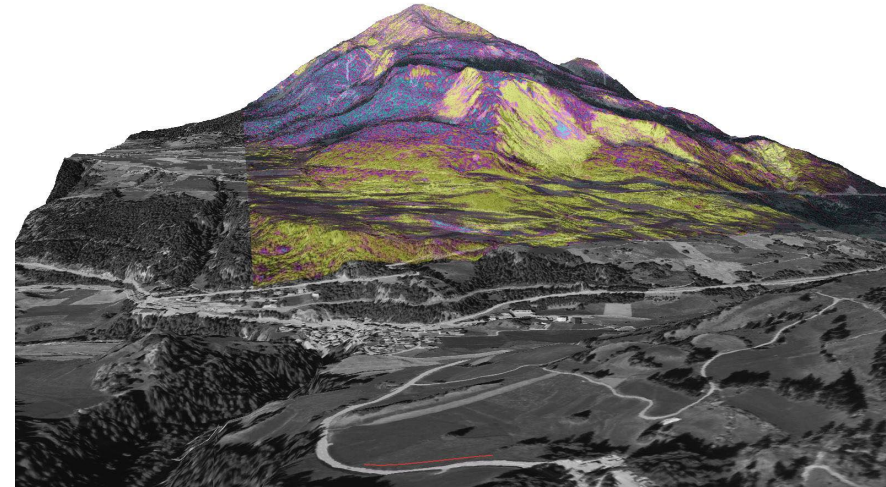
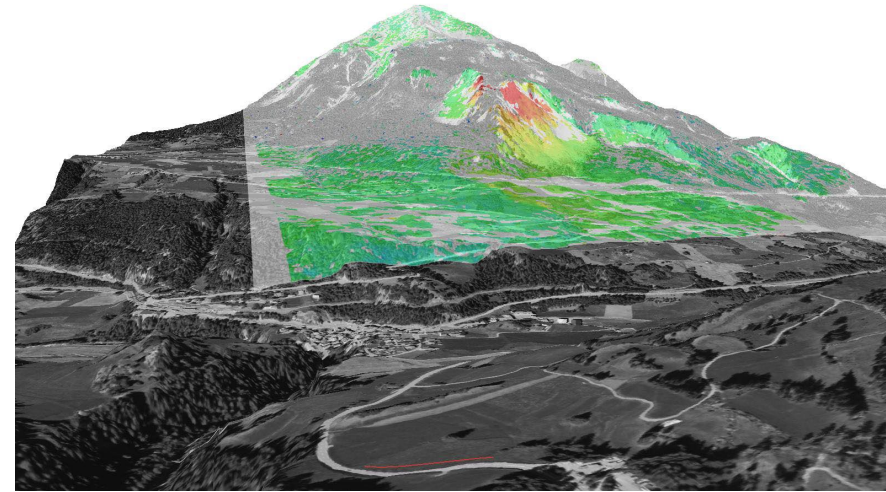
Frey, O., Magnard, C., Rüegg, M., Meier, E.:  
“Focusing of Airborne Synthetic Aperture Radar Data  
from Highly Nonlinear Flight Tracks”.  
IEEE Trans. Geosci. Remote Sens., 47(6):1844-1858, June 2009.

# Interferometric-coherence-based estimate of the quality of the interferometric phase/displacement measurement

To assess the precision of the interferometric phase measurement the formula by Rodriguez & Martin 1992 can be used:

$$\sigma_{\phi} = \sqrt{\frac{1}{2 \cdot N_L} \frac{1 - |\gamma|^2}{|\gamma|^2}}$$

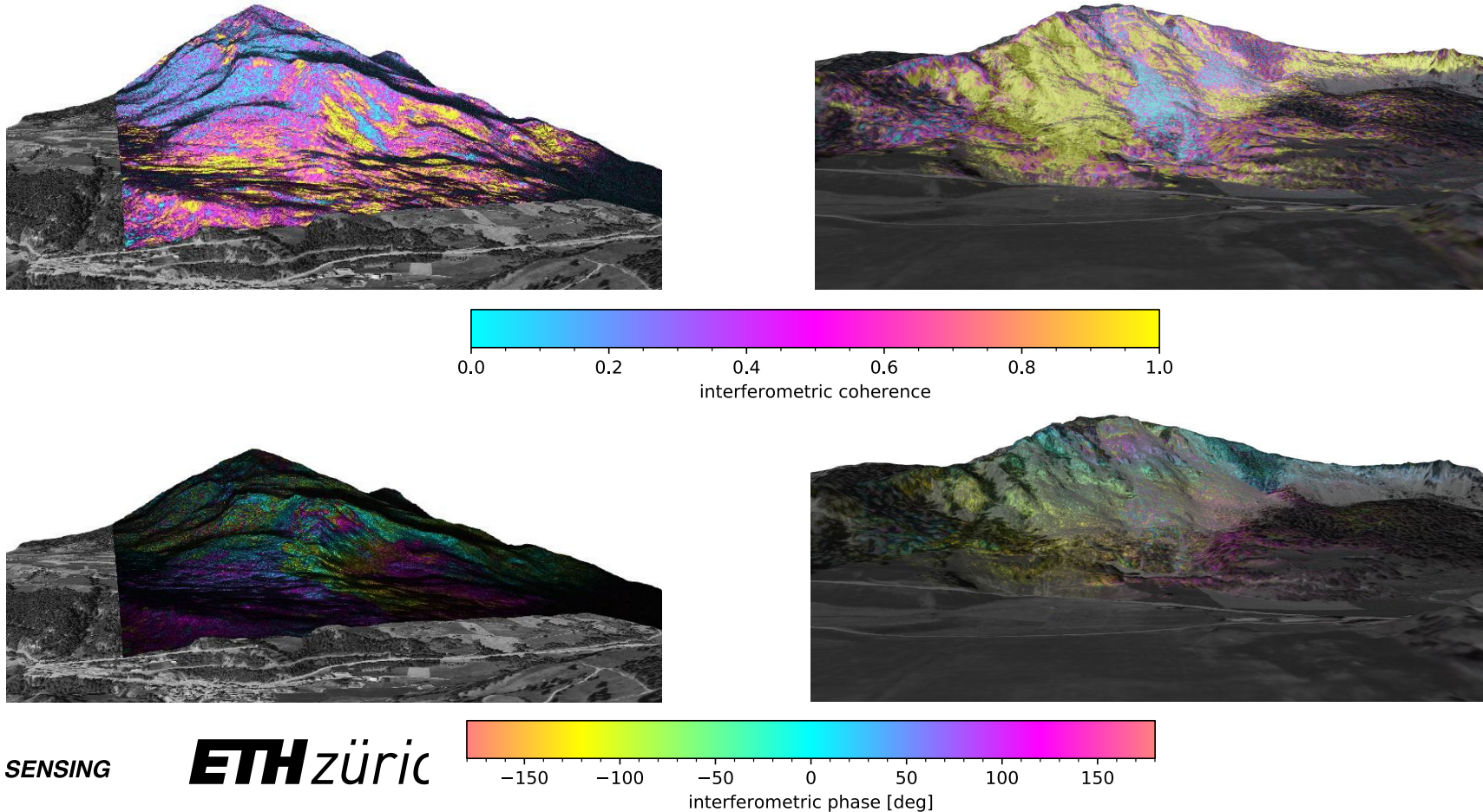
e.g.:  
with a number of looks  $N_L=50$   
a coherence = 0.7 corresponds to a **phase standard deviation of 5.8 deg**  
or:  
a **standard deviation of the LOS displacement of 1.8 mm**  
for our L-band system.



# 14-day coherence and phase 2020-01-20 to 2020-02-03

2020-01-20: dry

2020-02-03: partially light rain, tot. 5.9 mm (Thusis, MeteoSchweiz: THS)

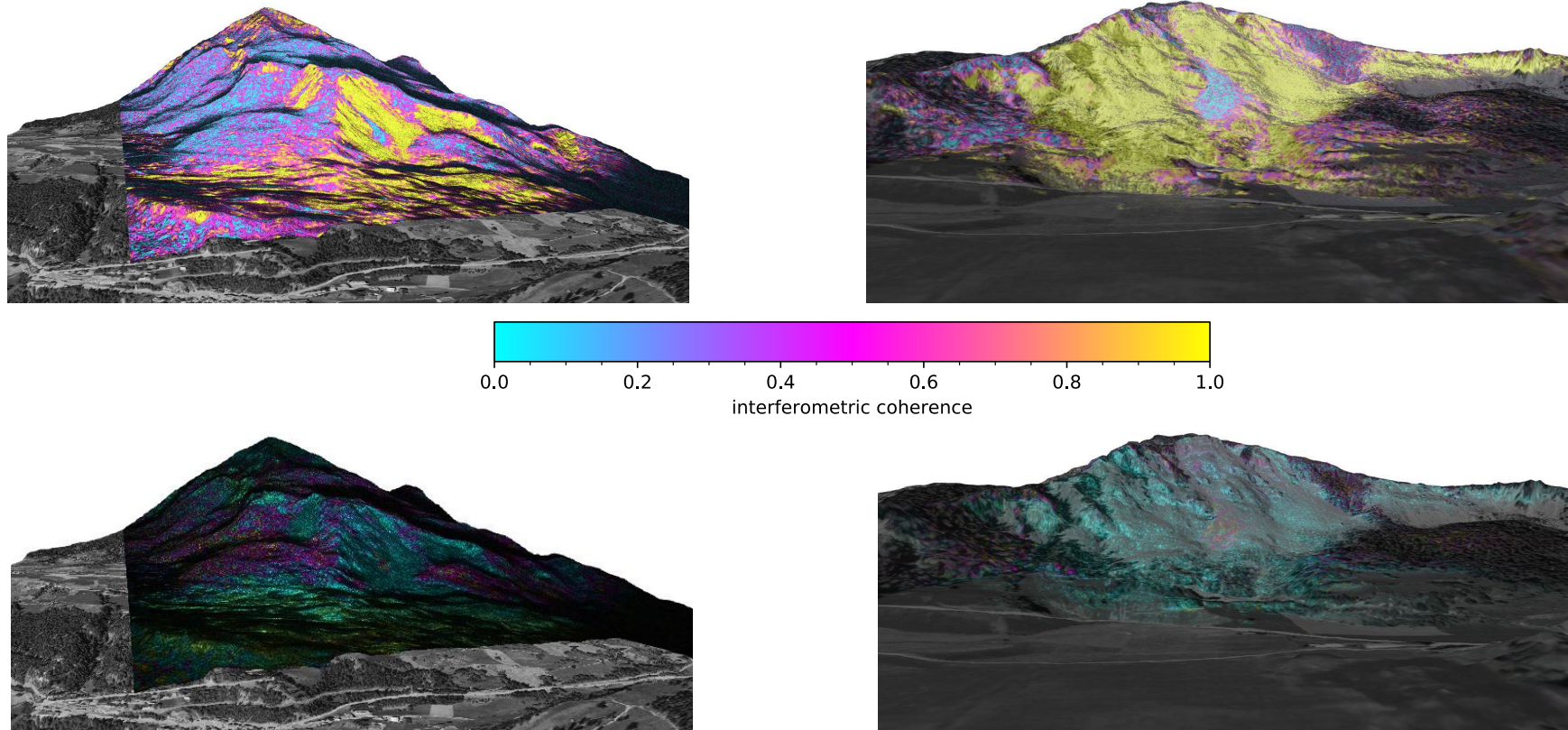


# Impact of changing weather conditions/precipitation

1-day coherence and phase

2020-02-03 (rainy) to 2020-02-04 (snow)

- 2020-02-03: partially light rain, tot. 5.9 mm (Thusis, MeteoSchweiz: THS)
- 2020-02-04: snow fall, tot. 5 cm (Thusis, MeteoSchweiz: THS)





# Solution for highly-precise navigation

HGUIDE n580 TYPICAL KEY CHARACTERISTICS	
<b>GNSS Capability (Varies by Configuration)</b>	SBAS, Post-Processed Kinematic (PPK), and RTK mode options Single or Dual Antenna capable
<b>GNSS Signals (Varies by Configuration)</b>	GPS L1 C/A, L1C, L2C, L2P, L5; GLONASS L1 C/A, L2 C/A, L2P, L3, L5; BeiDou B1, B2; Galileo E1, E5 AltBOC, E5a, E5b; NavIC (IRNSS) L5; SBAS L1, L5 QZSS L1 C/A, L1C, L2C, L5; L-Band up to 5 channels
<b>Supply Voltage</b>	+9VDC to +36VDC
<b>Power Consumption</b>	7 Watts (Varies by Configuration)
<b>Weight</b>	495g (1.1 lbs)
<b>Volume / Size</b>	324 cm <sup>3</sup> (19.8 in <sup>3</sup> ), 9cm x 6cm x 6cm (Not Including Mounting Feet)
<b>Operating Temperature Range</b>	-40°C to +71°C
<b>Packaging Rating</b>	IP68
<b>Communication Ports</b>	Primary Navigation Interface, 1MBit, RS-422 Secondary Navigation Interface, 1MBit, 5V CMOS RTCM3 Corrections Interface, 115.2KBit, RS-422
<b>Discrete Signals</b>	PPS 5V CMOS Output

HGUIDE n580 NAVIGATION PERFORMANCE			
POSITION ACCURACY		HEADING ACCURACY <sup>1</sup>	PITCH/ROLL ACCURACY
Horizontal (m, 1 $\sigma$ )	Vertical (m, 1 $\sigma$ )	(°, 1 $\sigma$ )	(°, 1 $\sigma$ )
0.01 RTK 0.6 SBAS	0.025 RTK 0.6 SBAS	0.05	0.015

HGUIDE n580 RTK DUAL ANTENNA PERFORMANCE - GNSS OUTAGES WITH NO AIDING			
RMS Error	3 Second	10 Second	30 Second
Horizontal (meter)	0.09	0.2	1
Vertical (meter)	0.045	0.1	0.5
Heading (degree)	0.06	0.07	0.08



# Solution for highly-precise navigation



GESELLSCHAFT FÜR INERTIALE MESS-,  
AUTOMATISIERUNGS- UND REGELSYSTEME MBH  
WWW.IMAR-NAVIGATION.DE

## Technical Data of iNAV-RQH-10018

Data Output:	Heading, Roll, Pitch, Angular Velocity, Velocity (body and world), Position, Raw data, internal status information, odo and GPS inf.	
True Heading:	< 0.025° sec(lat) free inertial; 0.01° with DGPS, 0.005° postproc RTK	
Attitude Accuracy:	< 0.01° free inertial (< 0.005° with DGPS, 0.002° postproc with RTK aiding)	
Position Accuracy:	0.6 nm/hr free inertial; < 1 m GPS (S/A off) and < 10 cm RTK online, < 30 cm DGPS and 2 cm RTK/INS postproc, < 0.1 % distance travelled (with odometer and GPS, applic. depend.) < 0.2 % dist.trav. on underwater vehicles (incl. RDI DVL interface)	
Velocity Accuracy:	5 mm/s (aided with L1/L2 RTK GPS receiver, < 2 mm/s postproc RTK)	
Alignment Time:	< 10 minutes on-shore, < 25 minutes off-shore	
Range:	± 400 °/s (no angle limitation)	± 20 g
Drift (unaided) / Offset:	< 0.002 °/hr	< 25 µg
Bias Stability:	< 0.002 °/hr (const. temp.)	< 10 µg
Random Walk / Q:	< 0.0015 °/√h	< 8 µg/sqrt(Hz)
Resolution:	0.0003 ° (1,13"), < 0.001 °/s	< 5 µg (depends on data rate)
Scale/Linearity Error:	< 5 ppm / < 5 ppm	< 100 ppm / < 20 µg/g²
Axis Misalignment:	< 25 µrad	
Data Output Rate:	1...300 Hz, internal bandwidth 300 Hz	
Data Latency:	< 3 ms	
Data storage:	up to 16 GByte on internal flash drive (option)	
Output (options):	RS232/422 UART, Ethernet TCP/IP / UDP, PPT (Pulse Per Time), PPS, CAN, MIL-STD1553B	
Inputs (options):	internal/external (RTK)GNSS (standard: GPS/GLONASS/GALILEO integrated), marker event trigger, 3 x odometer (RS422 level), [PPS / SYNC]	
Connectors:	MIL-C-38999 III	
Temperature (case):	-40...+65°C operating, (+71°C opt.), -46...+85°C not operating	
Rel. Humidity:	8...100%, IP67	
Magnetic. insensitivity:	< 500 µTesla (5 Gauss)	
MTBF / MTTR:	> 25,000 hrs (estimated for surveying applications) / < 30 minutes	
Shock, Vibration:	25 g, 11 ms ;60 g, 5 ms (operating); 20...2000 Hz, 3 g rms	
Qualification:	MIL-STD-810F, MIL-STD-461E, MIL-STD-704D, DO160E	
Power:	11...34 V DC, < 45 W; 50 ms hold up time according to DO160E	
Weight / Size:	9.0 kg / approx. 299 x 213 x 179 mm (without connectors)	
Software:	internal online Kalman filter, NavCommand, INS/RTK-GPS postproc. (option)	

